

# Language evolution: the earliest words and sentences

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# LANGUAGE EVOLUTION: THE EARLIEST WORDS AND SENTENCES

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# OUTLINE

1. DEFINING THE KEY TERMS
  - a. evolution, language, language evolution
  - b. exaptation, embodiment
2. A GRADUAL ACCOUNT OF SPOKEN LANGUAGE ORIGINS
  - a. the protolanguage hypothesis and the like
  - b. concepts (semantics)
  - c. one-word stage
  - d. two words, three words...
3. Conclusions

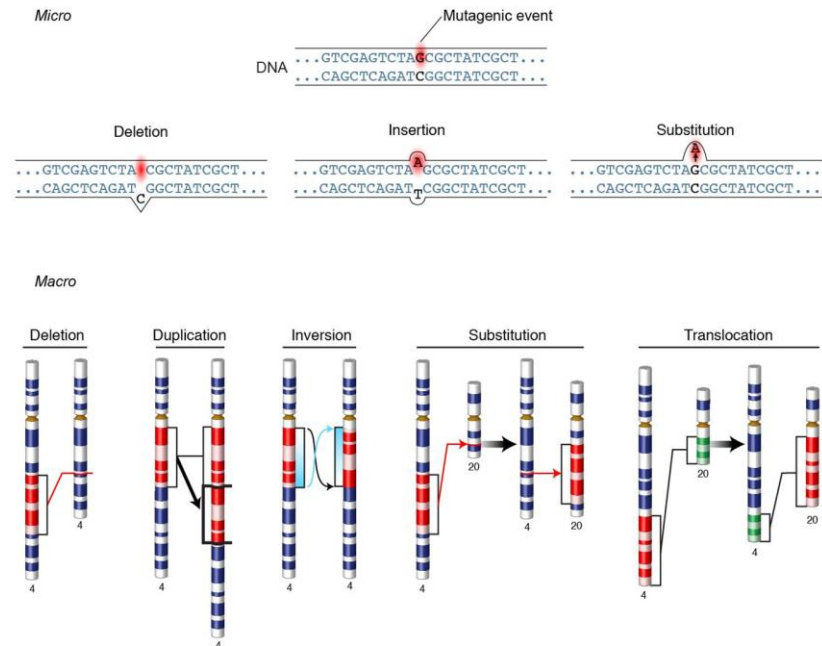
# 1. Defining key terms EVOLUTION (1)

microevolution and macroevolution

mutations = source of genetic  
variation

natural selection,  
genetic drift,  
gene flow,  
etc.

Genome.gov



# 1. Defining key terms

## EVOLUTION (2)

Palaeoanthropology and archaeology  
fossils, DNA, artefacts

Limitations:

small „samples”,  
current fossil and artefact records are not representative,  
limitations  
etc.



Wikipedia



LINGUISTISCHES KOLLOQUIUM, Wintersemester 2020/21, 03.02.2021

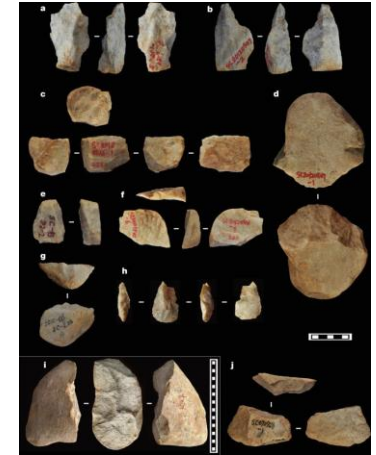
# 1. Defining key terms EVOLUTION (3)

## LETTER

<https://doi.org/10.1038/s41586-018-0299-4>

### Hominin occupation of the Chinese Loess Plateau since about 2.1 million years ago

Zhaoyu Zhu<sup>1,2\*</sup>, Robin Dennell<sup>3\*</sup>, Weiwu Huang<sup>2,4</sup>, Yi Wu<sup>5</sup>, Shifan Qiu<sup>6</sup>, Shixia Yang<sup>4,7</sup>, Zhiguo Rao<sup>8</sup>, Yamei Hou<sup>2,4</sup>, Jiubing Xie<sup>9</sup>, Jiangwei Han<sup>10</sup> & Tingping Ouyang<sup>1,11</sup>



Proceedings of the Geologists' Association 128 (2017) 697–710



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Contents lists available at ScienceDirect

Proceedings of the Geologists' Association

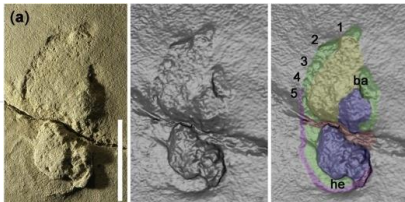
journal homepage: [www.elsevier.com/locate/pgeola](http://www.elsevier.com/locate/pgeola)



### Possible hominin footprints from the late Miocene (c. 5.7 Ma) of Crete?



Gerard D. Gierliński<sup>a,c,j</sup>, Grzegorz Niedźwiedzki<sup>b</sup>, Martin G. Lockley<sup>c,d</sup>, Athanassios Athanassiou<sup>e</sup>, Charalampos Fassoulas<sup>f</sup>, Zofia Dubicka<sup>g</sup>, Andrzej Boczarowski<sup>c,h,i,j</sup>, Matthew R. Bennett<sup>k</sup>, Per Erik Ahlberg<sup>b,\*</sup>



### PALEOANTHROPOLOGY

### U-Th dating of carbonate crusts reveals Neandertal origin of Iberian cave art

D. L. Hoffmann,<sup>1\*</sup> C. D. Standish,<sup>2\*</sup> M. García-Díez,<sup>3</sup> P. B. Pettitt,<sup>4</sup> J. A. Milton,<sup>5</sup> J. Zilhão,<sup>6,7,8</sup> J. J. Alcolea-González,<sup>9</sup> P. Cantalejo-Duarte,<sup>10</sup> H. Collado,<sup>11</sup> R. de Balbín,<sup>9</sup> M. Lorblanchet,<sup>12</sup> J. Ramos-Muñoz,<sup>13</sup> G.-Ch. Weniger,<sup>14,15</sup> A. W. G. Pike<sup>2†</sup>



# 1. Defining key terms

## EVOLUTION (4)

SUPERFAMILY	FAMILY	GENUS
<i>Hominoidea</i>	<i>Hylobatidae</i>	<i>Hylobates</i>
	<i>Pongidae</i>	<i>Pan</i> <i>Gorilla</i> <i>Pongo</i>
	<i>Hominidae</i>	<i>Homo</i>

SUPERFAMILY	FAMILY	SUBFAMILY	TRIBE	GENUS	
<i>Hominoidea</i>	<i>Hylobatidae</i>			<i>Hylobates</i>	
	<i>Pongidae</i>	<i>Ponginae</i>		<i>Pongo</i>	
	<i>Hominidae</i>		<i>Gorillinae</i>	<i>Gorillini</i>	<i>Gorilla</i>
			<i>Homininae</i>	<i>Panini</i>	<i>Pan</i>
				<i>Hominini</i>	<i>Homo</i>

# 1. Defining key terms

## EVOLUTION (4)

SUPERFAMILY	FAMILY	SUBFAMILY	TRIBE	SUBTRIBE	GENUS
<i>Hominoidea</i>	<i>Hylobatidae</i>				<i>Hylobates</i>
	<i>Pongidae</i>	<i>Ponginae</i>			<i>Pongo</i>
	<i>Hominidae</i>	<i>Homininae</i>	<i>Gorillini</i>		<i>Gorilla</i>
			<i>Hominini</i>	<i>Panina</i>	<i>Pan</i>
				<i>Hominina</i>	<i>Homo</i>

SUPERFAMILY	FAMILY	SUBFAMILY	TRIBE	GENUS	
<i>Hominoidea</i>	<i>Hylobatidae</i>			<i>Hylobates</i>	
	<i>Pongidae</i>	<i>Ponginae</i>		<i>Pongo</i>	
	<i>Hominidae</i>	<i>Homininae</i>	<i>Gorillinae</i>	<i>Gorillini</i>	<i>Gorilla</i>
			<i>Hominini</i>	<i>Panini</i>	<i>Pan</i>
				<i>Hominini</i>	<i>Homo</i>






## The Evolution of the Book in Medieval and Renaissance Society

TOP STORIES

# The Instagram evolution of Angela Merkel

German Chancellor Angela Merkel joined Instagram just a week ago, and already, she's getting spammed and attacked by Russian trolls. DW's social media team charts the chancellor's week-long Instagram crash course.



**S1.E13 - Steven Spielberg (2020)**  
Documentary

## The Evolution of Steven Spielberg

Steven Spielberg was famously rejected from his top choice of film school but has gone on to become one of the most influential directors of all time. IMDb takes an in-depth look at

AAAS **Become a Member**

# Science

Contents ▾ News ▾ Careers ▾ Journals ▾

 **How the English language has evolved like a living creature**

By **Michael Erard** | Nov. 1, 2017, 5:25 PM

# 1. Defining key terms

## LANGUAGE (1)

= part of humans' communication system not evidenced in other known living beings

concepts – semantic memory

words (phrases) – lexicalization

syntax

sentences – compositional (syntactic) semantics

utterances

productivity

Language is, of course, grounded upon many more notions.

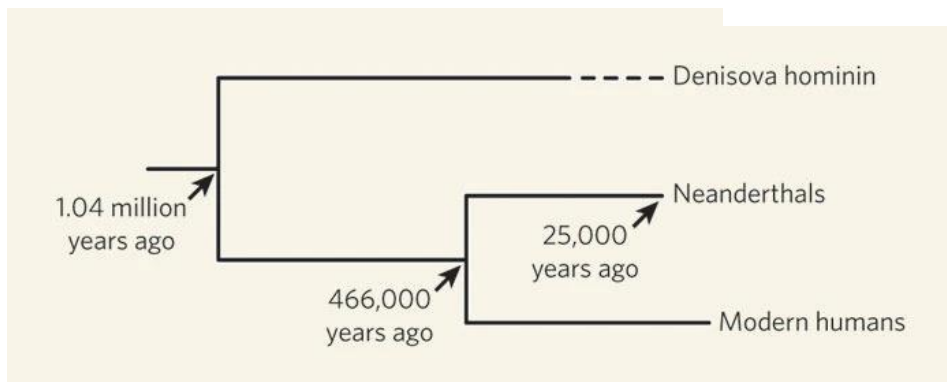
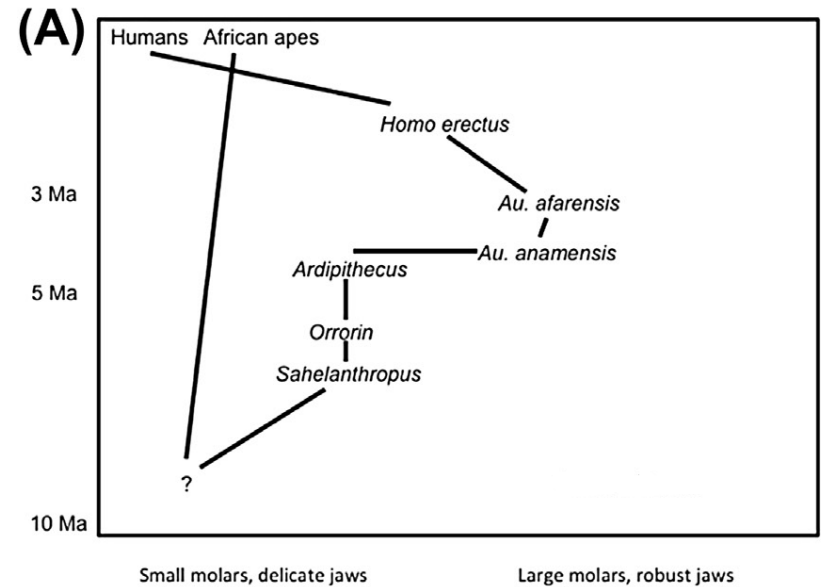
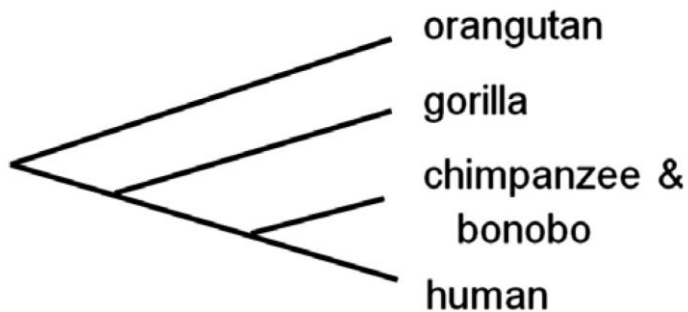
# 1. Defining key terms

## LANGUAGE EVOLUTION (1)

- Historical linguistics is time-limited.
- Humans are the only known living beings possessing language.
- In normal circumstances, all humans acquire at least one language before a certain developmental phase and the language is (primarily) spoken.
  - Language has emerged no later than the *Homo sapiens* speciation, but not before the split between humans and chimpanzees.
  - If language did not emerge as a „package“, it is plausible that some linguistic capacity might have been extant in the last common ancestor of humans and neanderthals.

# 1. Defining key terms

## LANGUAGE EVOLUTION (2)

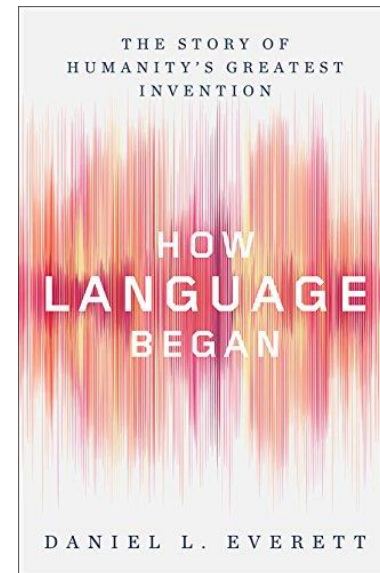
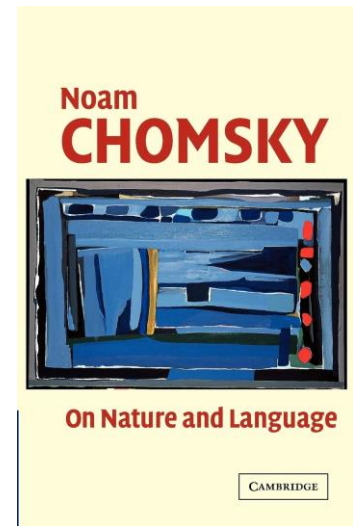


Groves, C. P. (2018). The latest thinking about the taxonomy of great apes. *International Zoo Yearbook*, 52(1), 16–24.  
 Brown (2010). Stranger from Siberia. *Nature* 464, 838–9.

# 1. Defining key terms

## LANGUAGE EVOLUTION (3)

- Mutations and culture
- N. Chomsky: a „mutation-based” account of language evolution
- D. Everett: cumulative culture



# 1. Defining key terms

## EXAPTATION (1)

- **Exaptation** = the process of the emergence of structures and/or functions from pre-existing structures and/or functions

Anatomy

Bird feathers

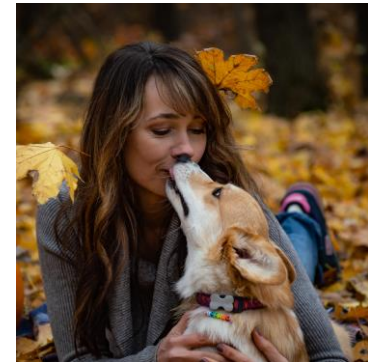
Heat regulation, display, flight



Behavior

Mouth licking in wolves and domestic dogs

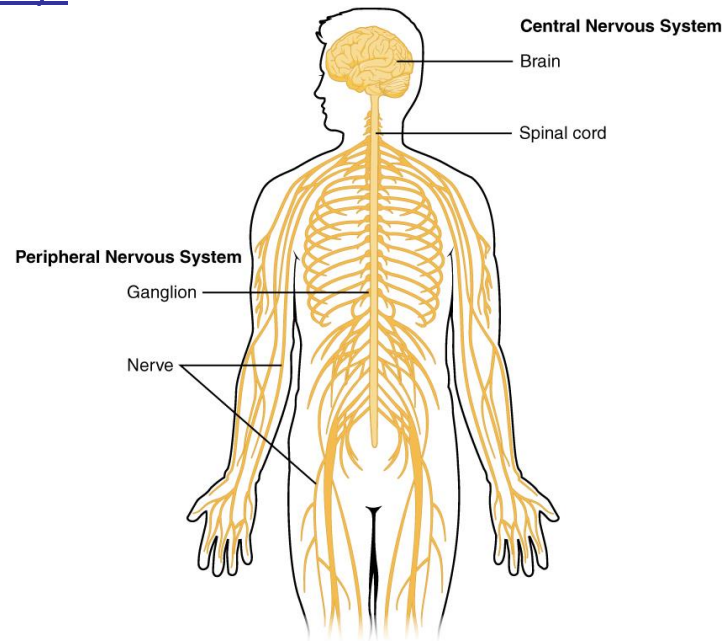
Begging for food, signal for submissiveness



# 1. Defining key terms

## EXAPTATION (2)

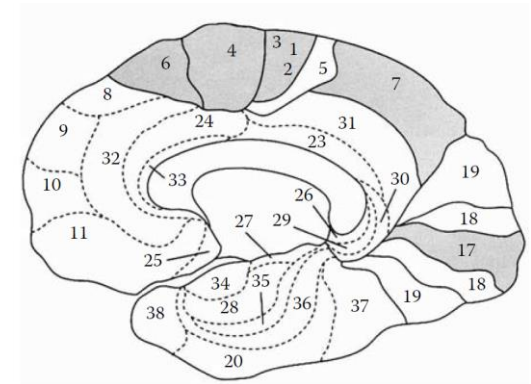
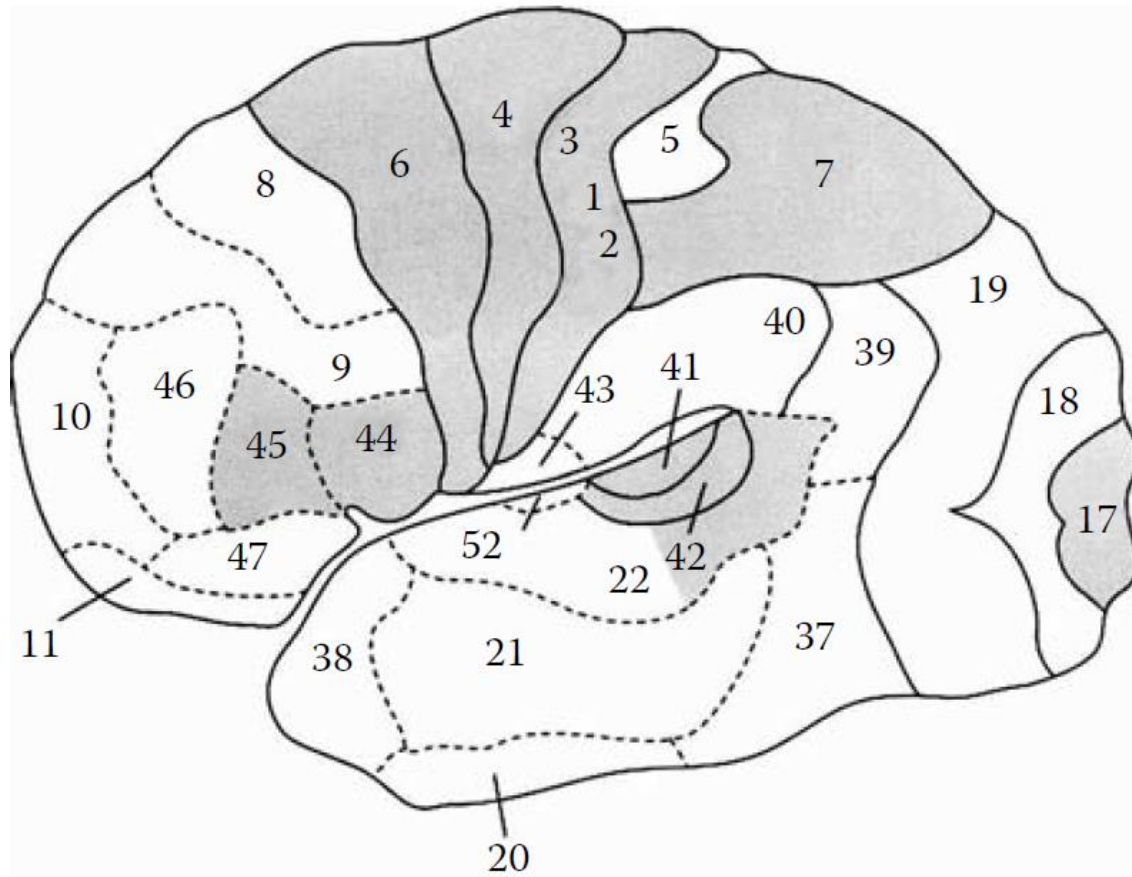
- In the context of speech and language evolution, we are talking about the exaptation from pre-existing cognitive functions (and brain structures).





# 1. Defining key terms

## EXAPTATION (3)



Mildner (2015). *The Cognitive Neuroscience of Human Communication*. Psychology Press.



# 1. Defining key terms

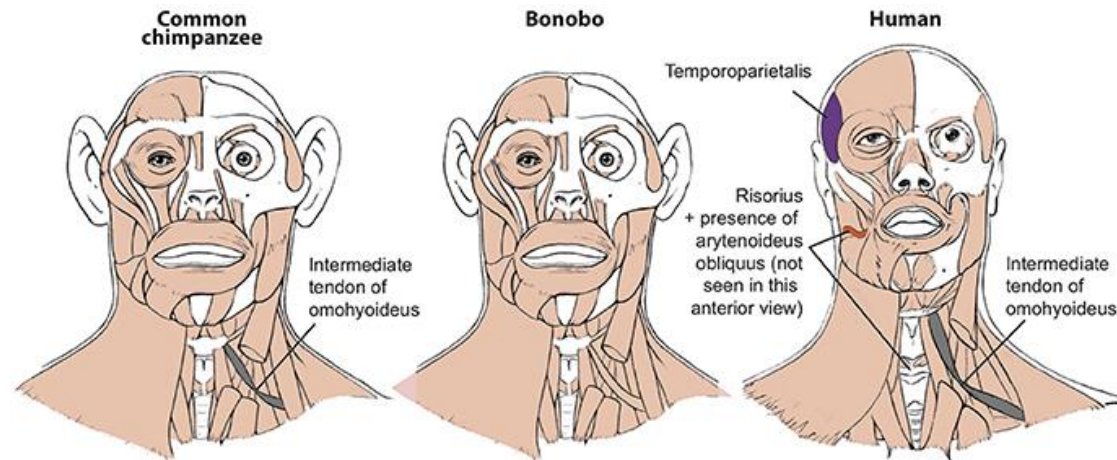
## EXAPTATION (4)

- Motor brain areas are involved in speech production via activation of motor plans and muscle articulators, as well as movement coordination.
- Motor areas are also probably involved in speech perception (e.g. the motor theory of speech perception).

# 1. Defining key terms

## EXAPTATION (5)

- continuity



R. (2018). First detailed anatomical study of bonobos reveals intra-specific variations and exposes just-so stories of human evolution, bipedalism, and tool use. *Frontiers in Ecology and Evolution*, 6, 53

# 1. Defining key terms

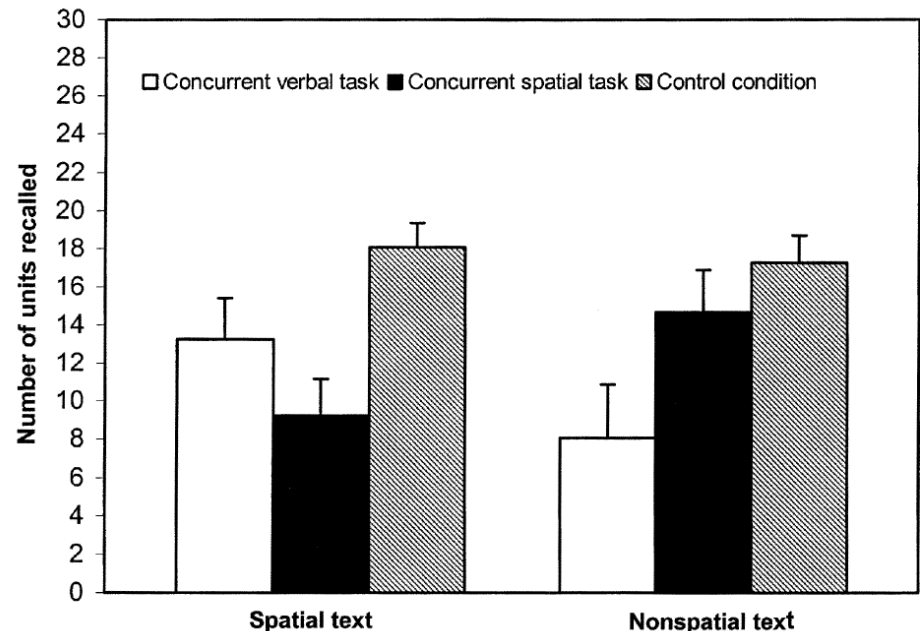
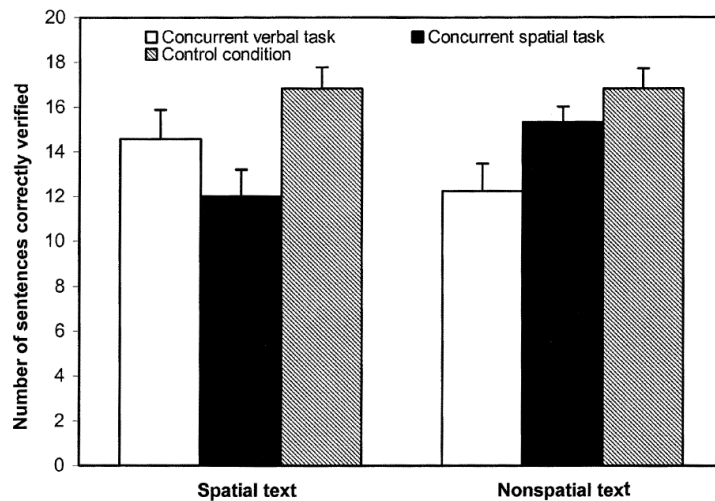
## EXAPTATION (6)

- Concerning language evolution, most likely candidates for exaptation are:
  - 1. sensorimotor processing (including visuospatial processing)
  - 2. declarative and procedural memory
  - 3. executive functioning and general working memory

# 1. Defining key terms

## EXAPTATION (7)

- De Beni et al. (2005): role of verbal and visuospatial working memory in text comprehension
  - Listening of „spatial” and „non-spatial” texts with concurrent cognitive tasks

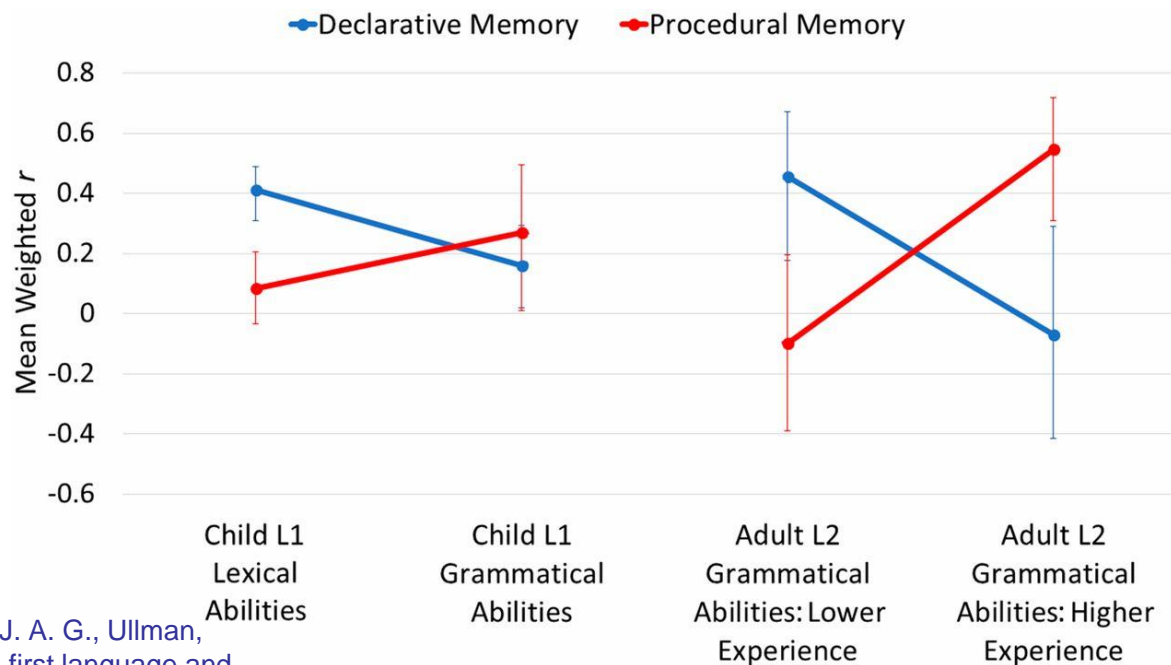


De Beni, R., Pazzaglia, F., Gyselinck, V., Meneghetti, C. (2005). Visuospatial working memory and mental representation of spatial descriptions. *European Journal of Cognitive Psychology*, 17(1), 77–95.

# 1. Defining key terms

## EXAPTATION (8)

- Hamrick et al. (2018): procedural and declarative memory



Hamrick, P., Lum, J. A. G., Ullman, M. T. (2018). Child first language and adult second language are both tied to general-purpose learning systems. *Proceedings of the National Academy of Sciences of the United States of America*, 115(7), 1487–1492.

# 1. Defining key terms

## EMBODIMENT (1)

- Embodiment
- Modular theories

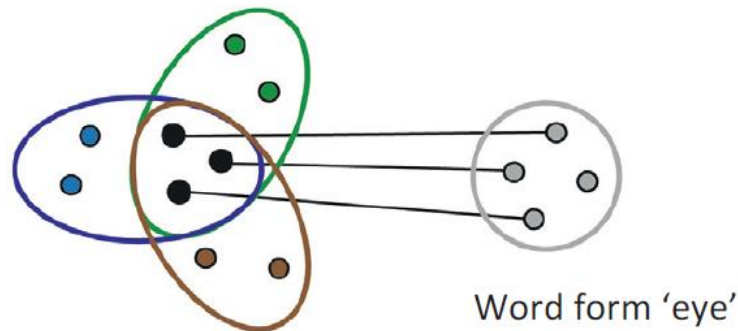
# 1. Defining key terms

## EMBODIMENT (2)

- Concept modality vs. amodality

Concrete meaning:

Similar instantiations, semantic feature overlap strongly links to symbol



Pulvermüller, F. (2013). How neurons make meaning: brain mechanisms for embodied and abstract-symbolic semantics. *Trends in Cognitive Sciences*, 17(9), 458–70.

# 1. Defining key terms

## EMBODIMENT (3)

- Concreteness and abstractness are not categorical, but gradual measures.
- Compared to abstract words, concrete words are
  - recognized faster,
  - recalled faster and more accurately,
  - used more often,
  - shorter,
  - etc.
- Cognitive Linguistics: abstract semantics arises from concrete semantics via mechanisms of metaphor and image schemas



# 1. Defining key terms

## EMBODIMENT (4)

1	Prefixation is ten times more likely to occur in abstract nouns.
2	Suffixation is four times more likely to occur in abstract nouns.
3	Abstract nouns show higher rates of consonant clustering.
4	Abstract nouns are longer both in total syllables and in phonemes.
5	Compounding (e.g., <i>bulldog</i> ) is twice as likely to occur in concrete nouns.
6	Concrete nouns are most commonly monomorphemic.
7	Concrete nouns typically hold first syllable stress.
8	Abstract nouns show more variable syllable stress patterns and are more likely to carry non-initial stress as word length increases.
9	Etymologies of concrete and abstract nouns differ significantly. Abstract nouns are most often derived from Latinate. Concrete nouns are more frequently of Germanic origin.
10	Abstract nouns have fewer similar-sounding neighbors (i.e., sparse phonological and orthographic neighborhood density).

doi:10.1371/journal.pone.0042286.t001

- abstract words appear to be cognitively more demanding and more linguistically marked than concrete words from a number of aspects

Arbitrary Symbolism in Natural Language  
Revisited: When Word Forms Carry Meaning.  
PLOS ONE 7(8): e42286.

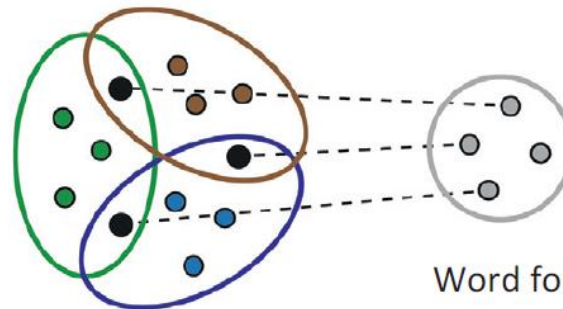
# 1. Defining key terms

## EMBODIMENT (5)

- Concrete words are processed bilaterally with a „modest leftward asymmetry”, while abstract words appear to be left-lateralized (Binder et al. 2005; Mildner 2015: 199).

Abstract meaning:

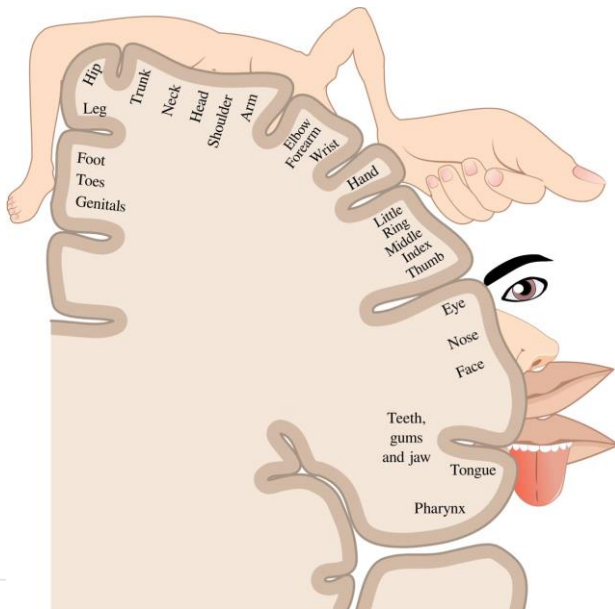
Dissimilar instantiations, family resemblance pattern, weak links to symbol



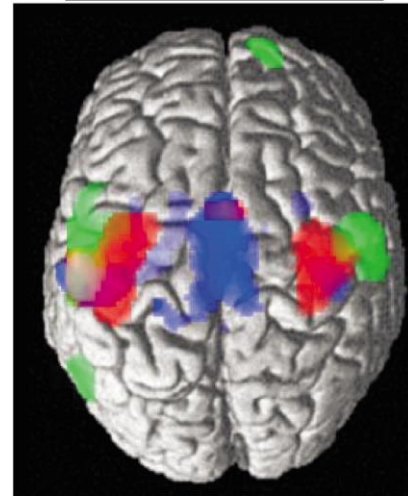
# 1. Defining key terms

## EMBODIMENT (6)

- Neurophysiological studies show somatotopic activation of words related to face/mouth, hand/arm and foot actions.
- Hauk et al. (2004, *Neuron* 41(2)): fMRI, silent reading of face (e.g. *lick*), hand (e.g. *pick*) and foot action verbs (e.g. *kick*)

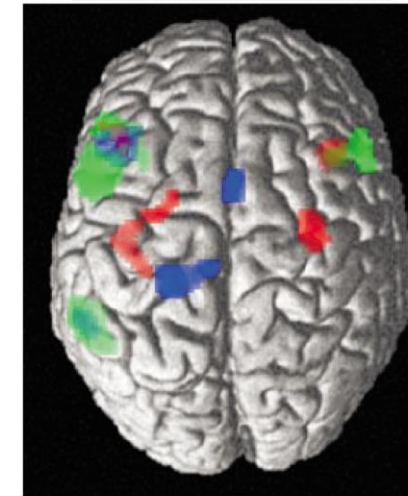


A **Movements**



**Blue:** Foot movements  
**Red:** Finger movements  
**Green:** Tongue movements

B **Action Words**

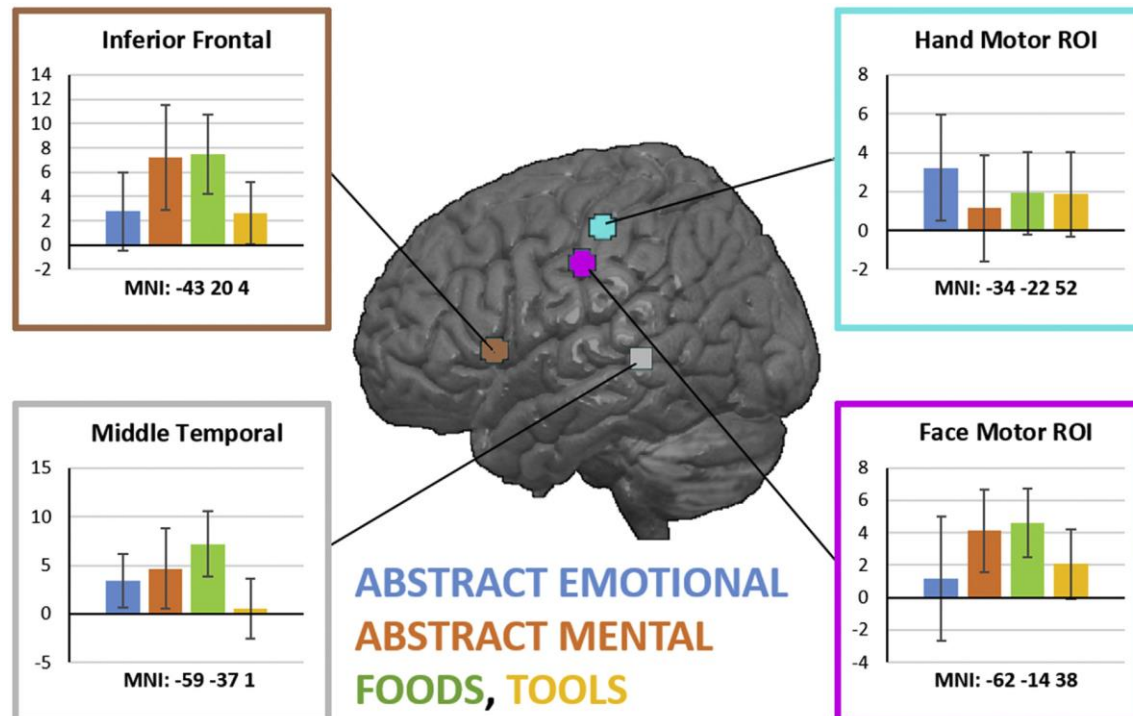


**Blue:** Leg words  
**Red:** Arm words  
**Green:** Face words

# 1. Defining key terms

## EMBODIMENT (7)

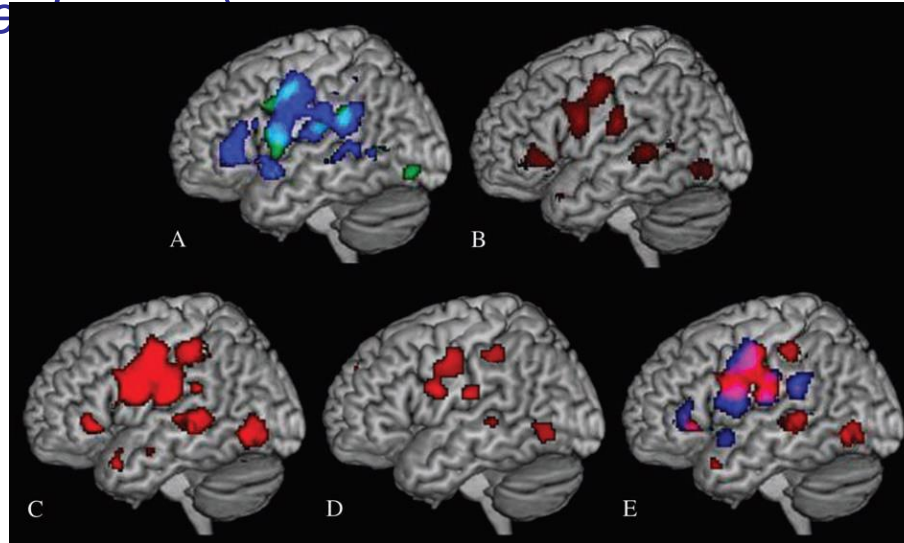
- Dreyer & Pulvermüller (2018, Cortex 100): fMRI, passive reading of four different semantic noun types



# 1. Defining key terms

## EMBODIMENT (8)

- Abstract words are also relatively embodied, namely in motor and emotional brain regions.
- Moseley et al. (2012, *Cerebral Cortex* 22): fMRI, passive listening of emotional (e.g. *dread*, *spite*), face (e.g. *gnaw*, *chew*) and hand verbs (e.g. *peel*, *hammer*)



# 1. Defining key terms

## EMBODIMENT (9)

- As semantic processing, syntactic processing is also associated with distributed neural activation in the frontotemporoparietal areas.
  - left-hemispheric middle and superior temporal, inferior-posterior parietal, as well as inferior frontal brain regions
  - left-hemispheric lateral premotor cortex, sometimes extending more posteriorly into the primary motor area and more anteriorly into the middle frontal gyrus
  - „The involvement of the motor system in sentence processing is not only due to phonological and articulatory mapping [...] because it also provides a grounding node for certain kinds of conceptual-semantic information.” (Ghio & Tettamanti 2016: 647)

# 1. Defining key terms

## EMBODIMENT (10)

- Synchronic embodiment can suggest phylogenetic exaptation (Occam's razor).
- The alternative, modular, hypothesis is problematic:
  - It would imply that there were two phases of language evolution (language emergence and language embodiment).
  - It would imply that there was a significant brain reorganization in the wake of various genetic mutations.
  - It doesn't explain why some linguistic phenomena are more embodied than others.
  - It is unclear how a modular language system would have functioned.

## 2. Gradual evolution of language

### PROTOLANGUAGE (1)

- Bickerton (1990): „protolanguage” and „language fossils”
- Protolanguage is compatible with gradualism.
- „In syntax one can define living fossils as constructions which exhibit rudimentary syntax/semantics, not accounted for by the principles of modern (morpho)syntax, but which nonetheless show some continuity with it.” (Progovac 2016: 3)
- Language fossils are not adequately defined.
- The methodologies in these studies are primarily introspective, and are further problematic and non-transparent.



## 2. Gradual evolution of language PROTOLANGUAGE (2)

- Language fossils:
  - language-taught captive apes
  - children under the age of two
  - feral children
  - pidgins
  - Riau Indonesian
  - Pirahã
  - spontaneously emerging sign languages
  - Contemporary languages contain „living fossil” structures in their lexicons.

## 2. Gradual evolution of language

### PROTOLANGUAGE (3)

- Jackendoff & Wittenberg (2014): „[W]e are adopting the unfamiliar and sometimes painful methodology of assuming as little syntactic structure as possible.”

#### a. **One-word grammar**

[Utterance Word] [*Traditional notation: Utterance* → Word]

#### b. **Two-word grammar**

[Utterance Word (Word)] [Utterance → Word (Word)]

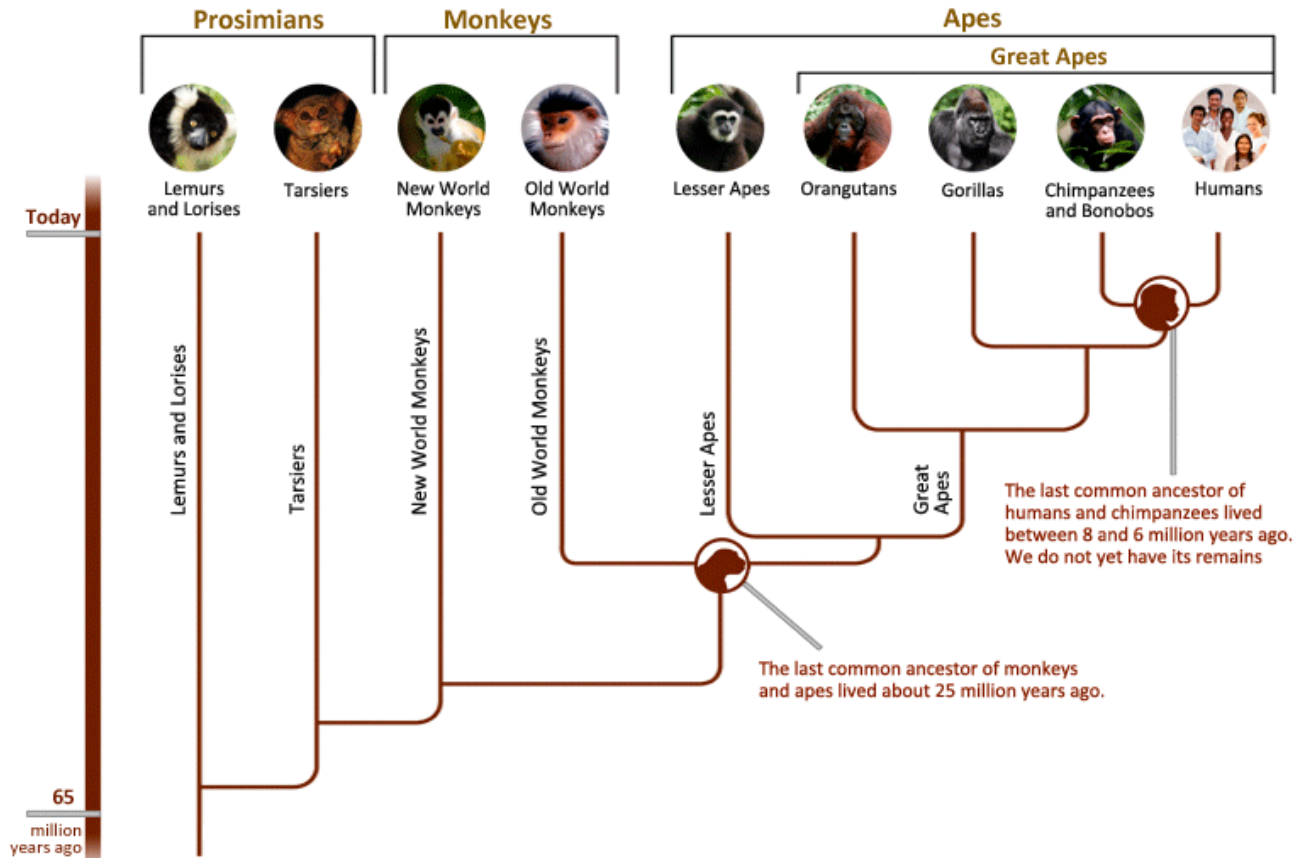
#### c. **Concatenation grammar**

[Utterance Word\*] [Utterance → Word\*]

# 2. Gradual evolution of language

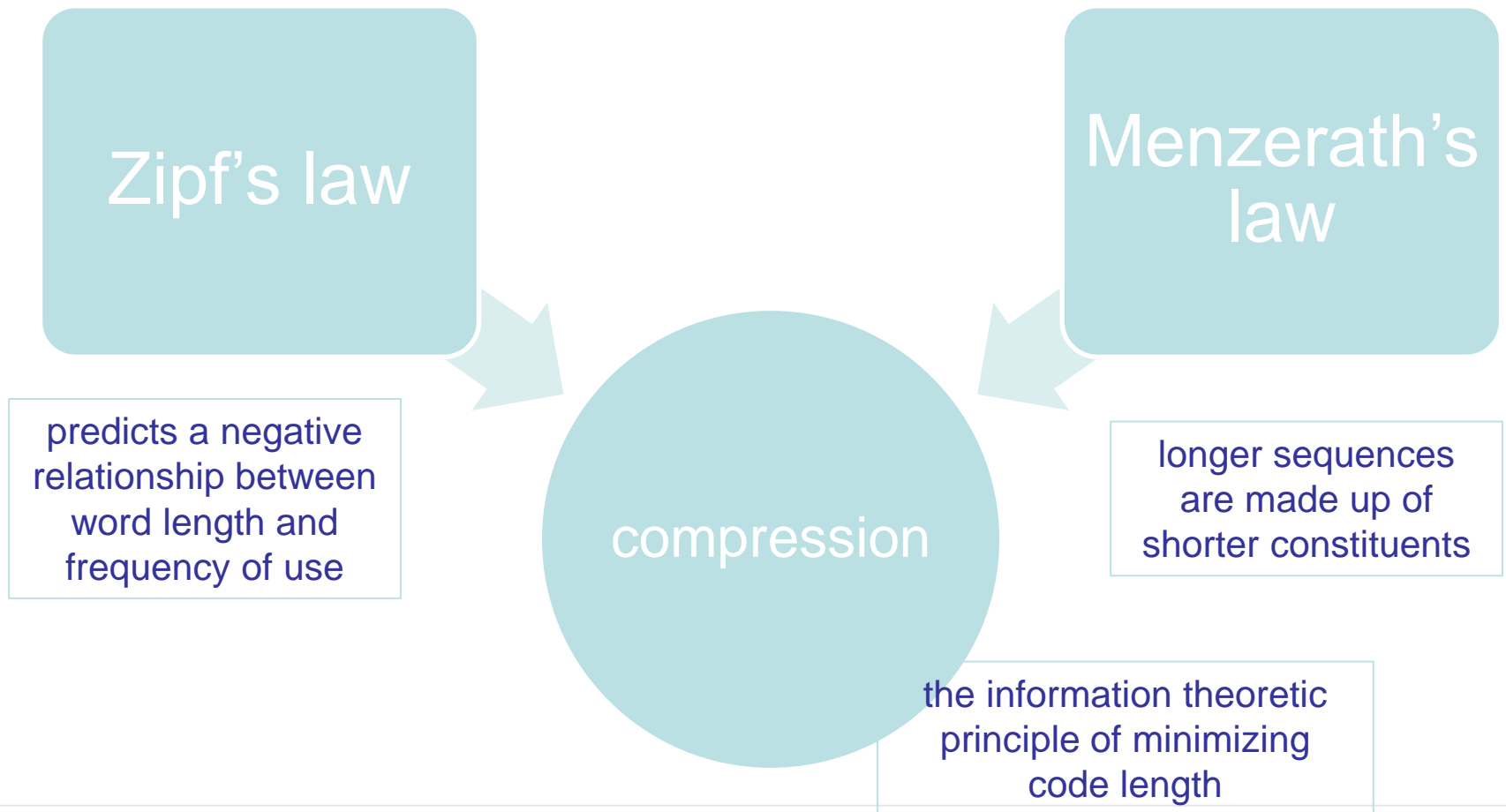
## CONTINUITY (1)

- Continuity vs. discontinuity



Smithsonian Institution

## 2. Gradual evolution of language CONTINUITY (2)



## 2. Gradual evolution of language

### CONTINUITY (3)

- Language is unique.
- Absence of evidence about the existence of a particular phenomenon in a sample doesn't imply nonexistence of the phenomenon in the sample, let alone nature (!).
- The available data on animal behavior doesn't straightforwardly show that animal communication lacks productivity.
- It is not clear why qualitative/quantitative differences between language and animal communication systems should be taken as arguments for discontinuity.

## 2. Gradual evolution of language

### CONTINUITY (4)

- Language is phylogenetically independent on animal communication systems because language is „unique“, and language is „unique“ because it appears sure to the naked linguist's eye that language has certain features which are lacking in animal communication systems.
- „[T]here is no fundamental difference between man and the higher mammals in their mental faculties.“ (Darwin 2013: 29–30)

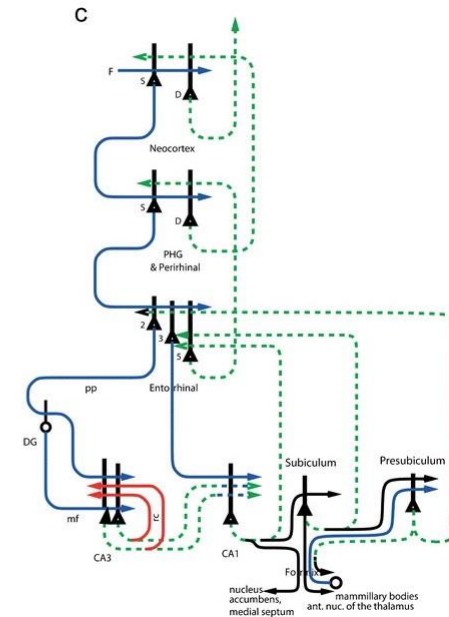
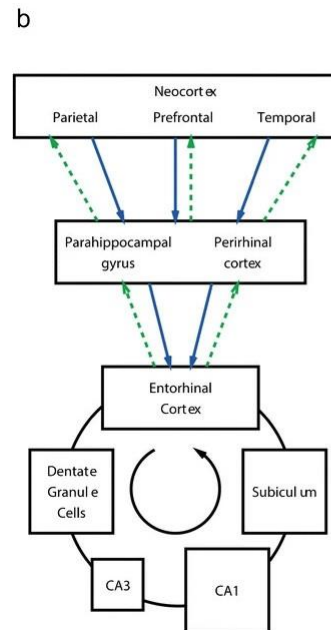
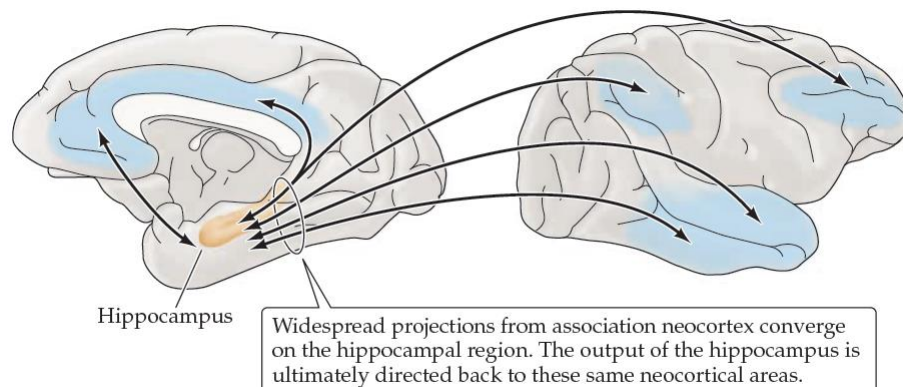
## 2. Gradual evolution of language CONTINUITY (5)

- the streetlight effect (or drunkard's search principle)



## 2. Gradual evolution of language SEMANTIC MEMORY (1)

- Concepts and the mental lexicon

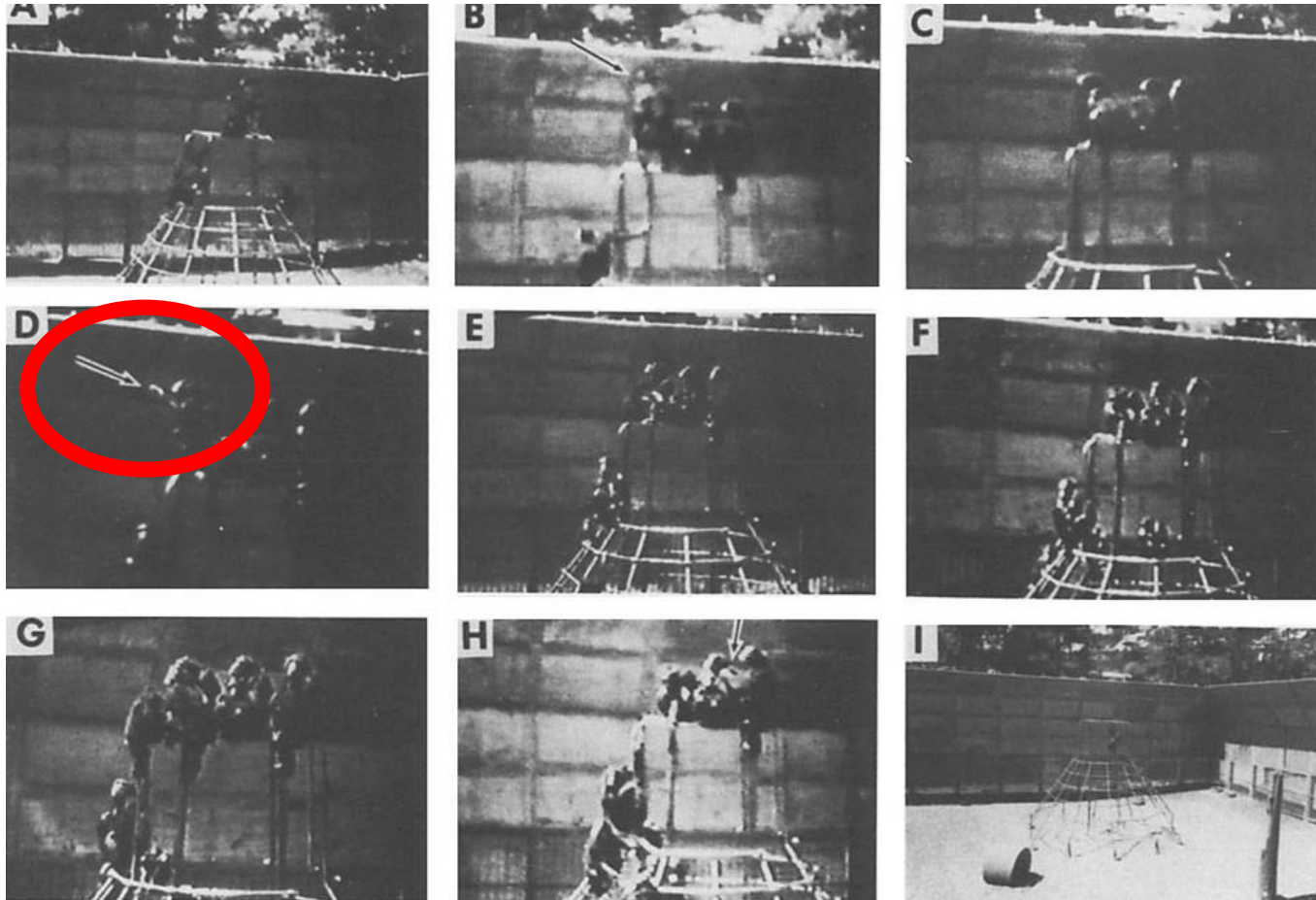




## 2. Gradual evolution of language SEMANTIC MEMORY (2)

- Categories: hypernymy and hyponymy (and co-hyponymy)
- Synonymy
- Antonymy
- Meronymy
- Metonymy
- Etc.

## 2. Gradual evolution of language SEMANTIC MEMORY (3): chimpanzees



Brunch & Gust (1986). Effect of solar eclipse on the behavior of a captive group of chimpanzees (*Pan troglodytes*). *Am J Primatol* 1986;11(4):367-373.



## 2. Gradual evolution of language

### SEMANTIC MEMORY (4): Japanese tits



## 2. Gradual evolution of language SEMANTIC MEMORY (5): Kanzi

- Kanzi
- When asked to „Put some water on the carrot” he responded by tossing the carrot outdoors; since it was raining his action resulted in water getting on the carrot. This method of „applying the water indirectly. This method of „watering the carrot” appeared to be deliberate on Kanzi’s part. During the test did he toss food or other objects? It is noteworthy that no one could recall even a single instance of behavior to Kanzi as a means of putting water on the carrot. Moreover, at other times during the test when it was raining, he readily used both the hose and the faucet at the sink as a means of obtaining water if a request required him to do so, indicating that he knew how to obtain water.





## 2. Gradual evolution of language

### ONE-WORD STAGE (1)

#### a. One-word grammar

[<sub>Utterance</sub> Word] [*Traditional notation: Utterance* → Word]

#### b. Two-word grammar

[<sub>Utterance</sub> Word (Word)] [Utterance → Word (Word)]

#### c. Concatenation grammar

[<sub>Utterance</sub> Word\*] [Utterance → Word\*]


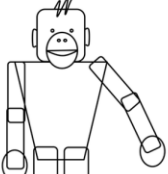
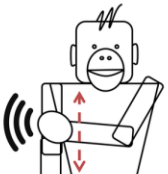
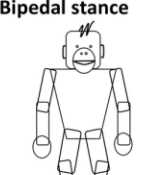
## 2. Gradual evolution of language

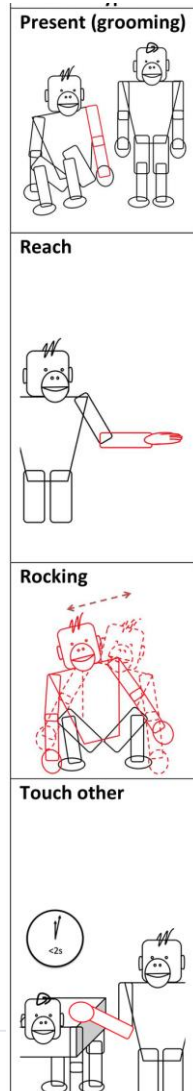
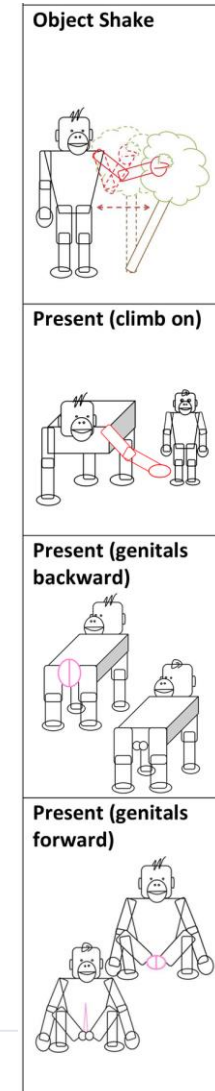
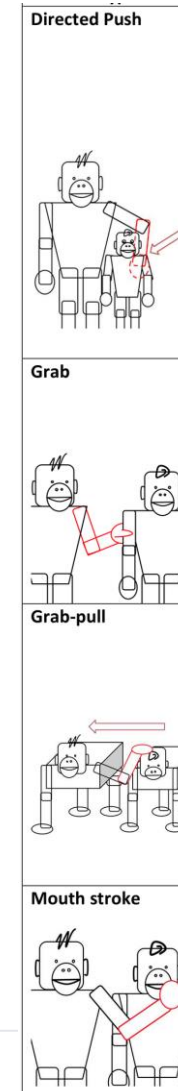
### ONE-WORD STAGE (2)

- One-word utterances in language
- There is no language with only one-word utterances.

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## ONE-WORD STAGE (3): chimpanzees

Gesture Type	Bonobo ASOs	Chimpanzee ASOs
	<p><u>Climb on you</u> 34%</p> <p>Initiate grooming 22%</p> <p>Initiate copulation 20%</p> <p>Initiate GG-rubbing 16%</p> <p>Contact 6%</p> <p>Climb on me 2%</p> <p><i>Ambiguous</i></p> <p>[9(50): f=3.13, df=12,96 p=0.0009]</p>	<p>Acquire object 48%</p> <p>Move away 19%</p> <p>Move closer 15%</p> <p>Stop behaviour 11%</p> <p><u>Climb on you</u> 7%</p> <p><i>Ambiguous</i></p> <p>[<math>\chi^2=65.71</math>, df=14 p&lt;0.0001]</p>
	<p>Contact 80%</p> <p>Climb on me 20%</p> <p><i>Tight</i></p> <p>[3(15): f=85.14, df=12,24 p&lt;0.0001]</p>	-
	<p><u>Initiate grooming</u> 100%</p> <p><i>Tight</i></p> <p>[10(41): f=893.1, df=12,108 p&lt;0.0001]</p>	<p><u>Initiate grooming</u> 82%<sup>1</sup></p> <p>Travel with me 16%<sup>1</sup></p> <p>Follow me 2%</p> <p>Climb on me 1%</p> <p><i>Tight</i></p> <p>[f=45.33, df=14, 238 p&lt;0.001]</p>
	<p>Initiate copulation 50%,</p> <p>Initiate GG-rubbing 50%</p> <p><i>Loose</i></p> <p>[4(12): f=4.46, df=12,36 p=0.0002]</p>	-



## 2. Gradual evolution of language

### ONE-WORD STAGE (3): chimpanzees

- Climb on you/me
- Initiate grooming
- Initiate copulation
- Initiate genito-genital rubbing
- Reposition
- Stop behavior
- Follow me
- Move away/move closer
- Acquire object



## 2. Gradual evolution of language

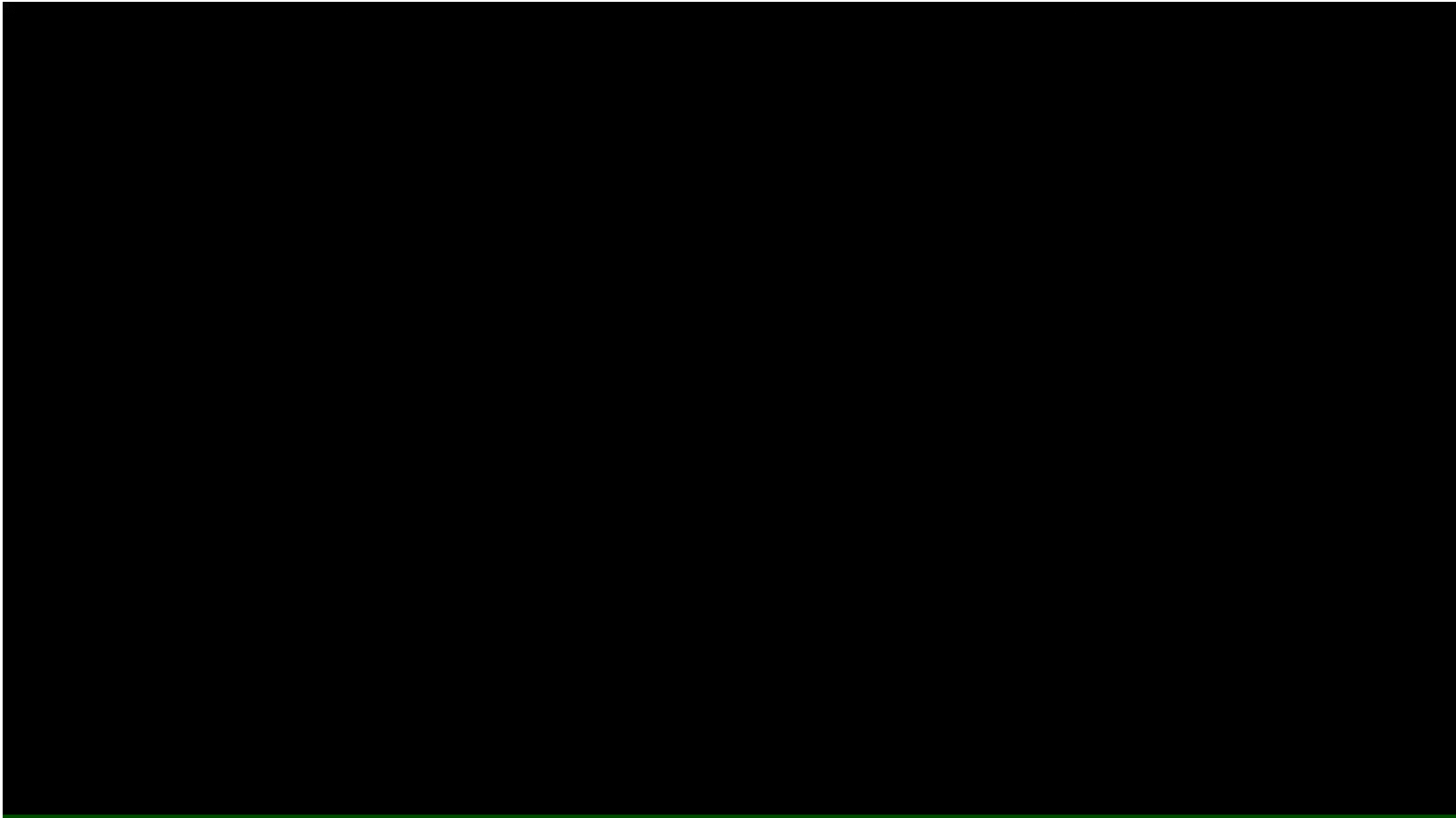
### ONE-WORD STAGE (4): vervets

- Alarm calls for five predators: leopard (*Panthera pardus*), martial eagle (*Polemaetus bellicosus*), African rock python (*Python sebae*), babbons (*Papio*) and unfamiliar humans



David  
Schenfeld from Flickr

Seyfarth, R. M., Cheney, D. L., Marler, P. (1980). Monkey responses to three different alarm calls: evidence of predator classification and semantic communication. *Science*, 210, 801–803.





## Snake Alarm Call



Gismo Enclosure encounter a  
Puff adder

## 2. Gradual evolution of language

### ONE-WORD STAGE (4): vervets

- Alarm calls for five predators: leopard (*Panthera pardus*), martial eagle (*Polemaetus bellicosus*), African rock python (*Python sebae*), babbons (*Papio*) and unfamiliar humans
- Vervet alarm calls are semantic (denotative) and symbolic (in Saussurean sense)

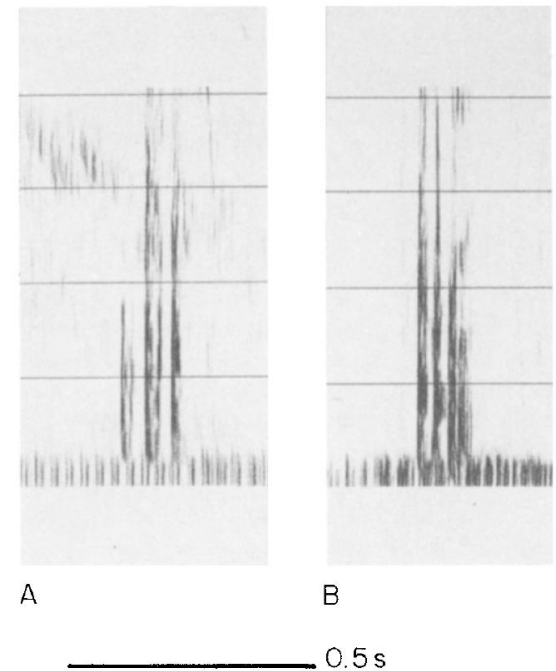
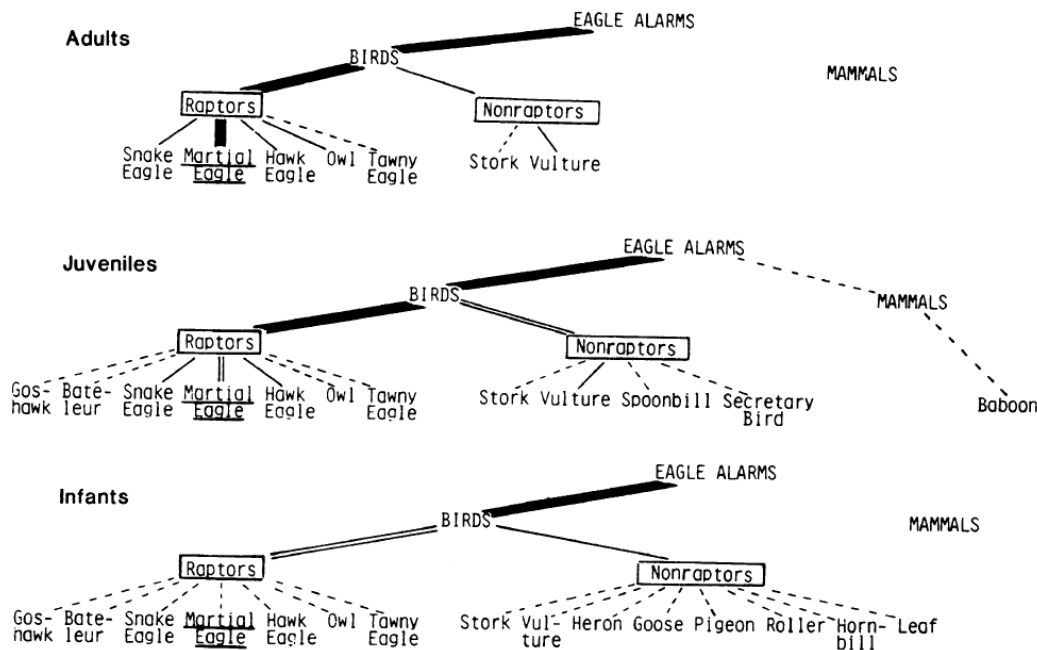


David Schenfeld from Flickr

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### ONE-WORD STAGE (5): vervets

- Vervet alarm calls appear to be at least partially learned (vs. innate).





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### ONE-WORD STAGE (6): vervets

- There are other similar examples in other animals, and not only mammals:
  - White-faced capuchins (*Cebus capucinus*)
  - Pale-winged trumpeter (*Psophia leucoptera*)
  - Male domestic chickens (*Gallus gallus domesticus*)



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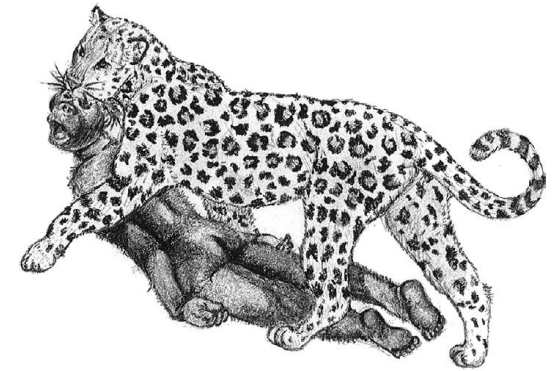
### ONE-WORD STAGE (7)

- Analogies in human languages
- Fire!
- Thief!
- Killer!
- Snake!
- Spider!
- Hornet!
- Help!



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### ONE-WORD STAGE (8)



- Predation threat: yes...
- Alarm calls are not the only vocalizations; the letter de
- Pro
- Le: vs. ab



## 2. Gradual evolution of language

### TWO-WORD STAGE (1)

- A two-word stage without syntax

- What is the semantic role of each word?

– <i>Elephant kill or Kill elephant</i>	'X killed elephant.'
– <i>Elephant /</i>	' <del>Elephant</del> killed X.'

- Two lexical items:

- The two concepts are somehow related within the proposition

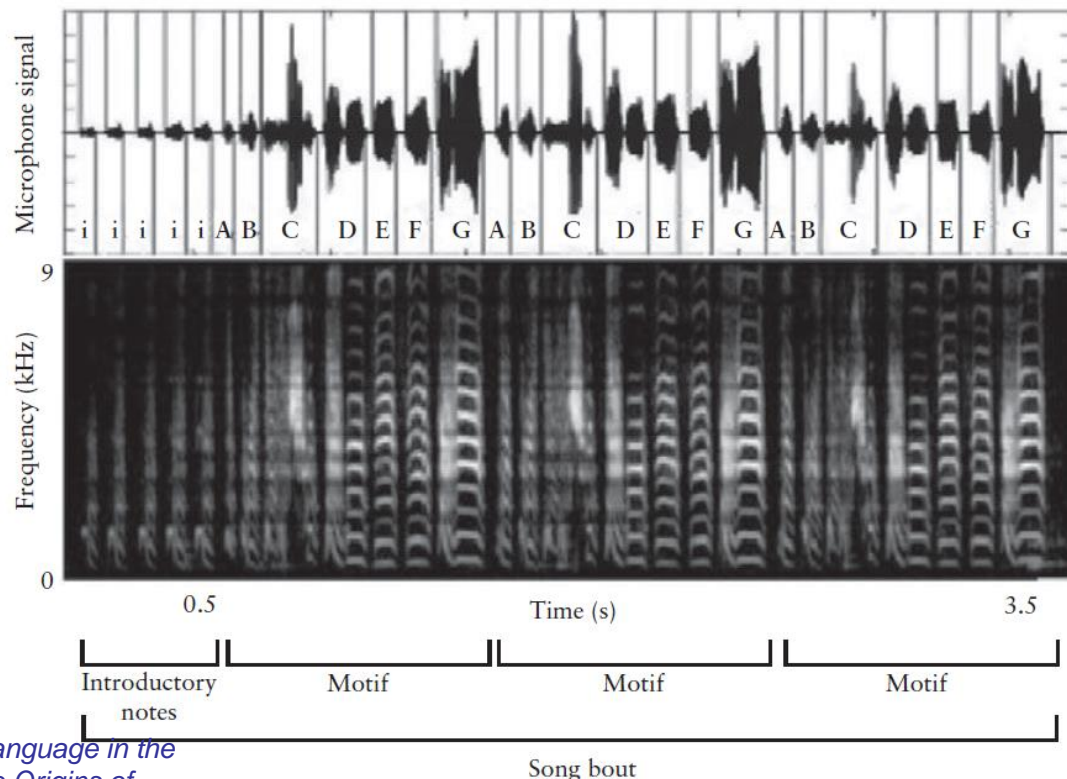
<i>Nuts many or Many nuts</i>	e.g., 'I found many nuts.'
<i>Hyena carrion or Carrion hyena</i>	e.g., 'Hyenas are feasting on the carrion.'

- If this is true, then the two words used could only be used with one (predetermined) semantic role.

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### TWO-WORD STAGE (2): animal syntax

- Bees, ants, frogs, songbirds, whales...

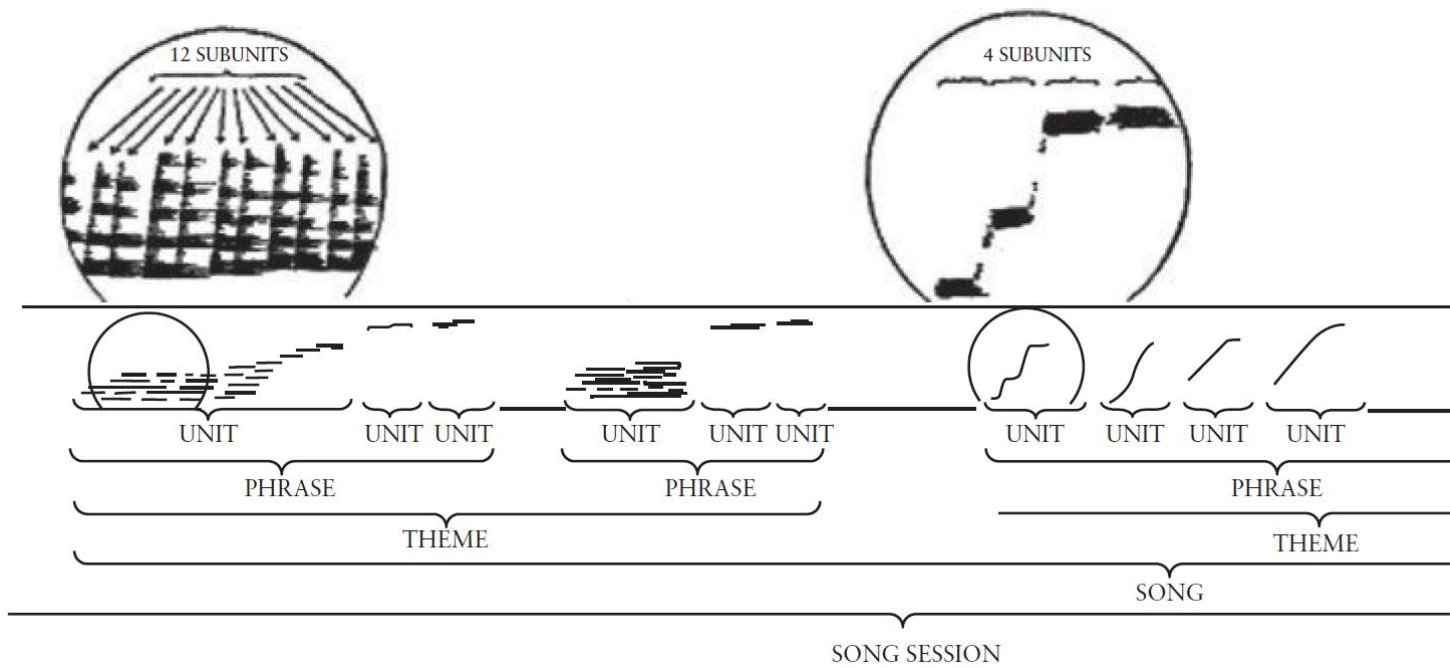


Hurford, J. R. (2007). *Language in the Light of Evolution 1. The Origins of Meaning*. New York: Oxford University Press.

## 2. Gradual evolution of language

### TWO-WORD STAGE (3): animal syntax

- Bees, ants, frogs, songbirds, whales...



Hurford, J. R. (2007). *Language in the Light of Evolution 1. The Origins of Meaning*. New York: Oxford University Press.

## 2. Gradual evolution of language

### TWO-WORD STAGE (4): chimpanzees





## 2. Gradual evolution of language

### TWO-WORD STAGE (5): chimpanzees

- Reduplication = repetition of a stem or its part within a word for semantic or grammatical purposes
- 1. Intensification
- 2. Larger in quantity (e.g., grammatical number)
- „Serbo-Croatian”: *Tip je glup-glup.* = lit. ‘The guy is stupid-stupid.’
  - *raznorazan* (ADJ) = lit. ‘diverse-diverse’
  - *danodneвно* (ADV) = lit. ‘on a day-daily basis’
- Italian: *niente di niente* = lit. ‘nothing of nothing’
- Indonesian: *pagi* ‘morning’, *pagipagi* ‘early morning’

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### TWO-WORD STAGE (6): chimpanzees

- Amalgamation, fusion
- Compounding
- principle of no synonymy
- Because 1a in the combinatorial expression would be non-meaningful, the b element can be said to code information on both change in travel direction and resting.
- Problem: temporal delay between the two components – considerable?

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### TWO-WORD STAGE (7): Japanese tits

- Suzuki et al. (2016) claim to have found semantic syntax in the Japanese tits (*Parus minor*)

ABC

- scan for danger

D

- approach the caller

ABC-D

- scan and approach

D-ABC

- mostly no change in behavior



Sergey Yeliseev

Suzuki, T. N., Wheatcroft, D., Griesser, M. (2016). Experimental evidence for compositional syntax in bird calls. *Nature Communications*, 7, 10986.



## 2. Gradual evolution of language TWO-WORD STAGE (8): Kanzi

- Kanzi: bonobo
- *Can you put your shirt on your ball?*
- *I think we need to give the balloon to Kelly.*
- *Can you put some toothpaste on your ball?*
  
- *put, give, and get*
- *slap, show, open, make your doggie bite your ball, etc.*

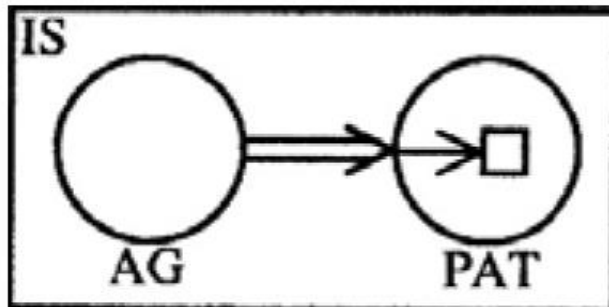


Savage-Rumbaugh et al.(1993). Language comprehension in ape and child. *Monographs of the Society for Research in Child Development*, 58(3-4), i+iii+v-vi+1-252.

## 2. Gradual evolution of language

### TWO-WORD STAGE (9): Transitivity

- Semantic vs. syntactic transitivity
- Semantic transitivity is not a categorical, but a gradual phenomenon.
  - Prototypical semantic transitivity: a volitional agent acts on a patient by changing his state or position
  - The typical agent is human?
  - The typical patient is inanimate?



## 2. Gradual evolution of language

### TWO-WORD STAGE (10): Transitivity

- Core transitive verbs: verbs which code prototypical semantic events (basic transitive coding)
  - *He broke the window. vs. I feel love. vs. She is crossing the street./She was sleeping all night.*
- Core transitive verbs are considered to be a linguistic universal and to show „a high degree of formal homogeneity”.

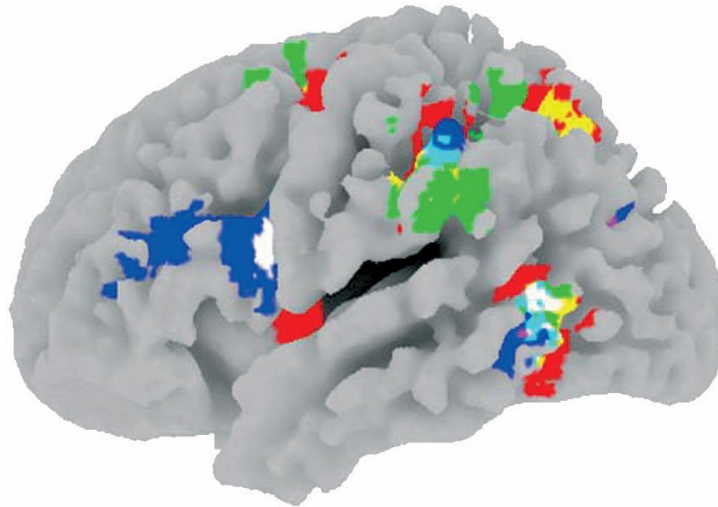
## 2. Gradual evolution of language

### TWO-WORD STAGE (11): Transitivity

- Tettamanti et al. (2005, *J Cogn Neurosci* 17(2)): fMRI, passive listening of sentences with face, foot and hand-related actions („abstract” sentences as controls)
  - Non-transparent reporting of the stimuli
  - *La ho accompagnata io. / Calcio il pallone. / Marco calcio il pallone.*
  - It appears they compared transitive constructions which were semantically transitive in the experimental set and intransitive in the control set.

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### TWO-WORD STAGE (12): Transitivity



- The degree of semantic transitivity mediates the neurophysiological response.
- Embodiment of syntactic transitivity?
- Is the embodiment effect due to the entire transitive scenario or due to the meaning of particular components (e.g. verbs)?

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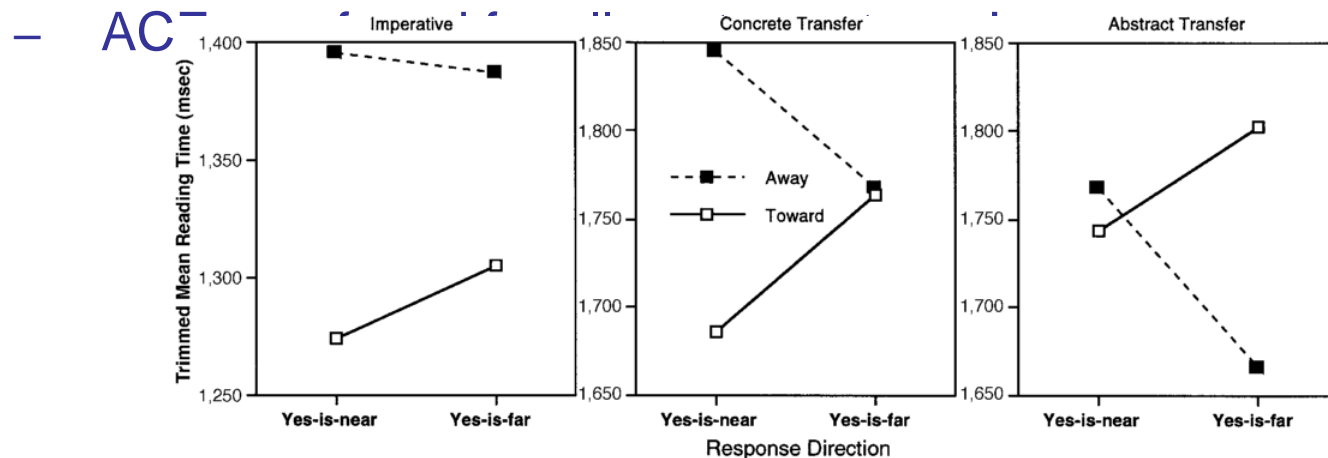
### TWO-WORD STAGE (13): Transitivity

- Ferretti et al. (2001): transitive verbs prime typical agents (*arresting-cop*), patients (*arresting-criminal*) and instruments (*stirred-spoon*), but not locations (*swam-ocean*)
  - A short SOA (250 ms) was used, indicating an automatic neural connection.
  - Results suggest that we can really talk about the embodiment of semantic transitivity in previous studies as it would be difficult to separate the effects of verb meaning, and agents and patients (and instruments).

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### TWO-WORD STAGE (14): Transitivity

- Glenberg & Kaschak (2002, *Psychon Bull Rev* 9(3)): ACE, hand actions and transfer sentences differing in the direction of action/transfer
  - Imperative sentences (?)
  - sentences denoting transfer of concrete objects
  - sentences denoting „transfer of abstract entities





## 2. Gradual evolution of language

### TWO-WORD STAGE (1): Word order

- basic word order
- a controversial approach
- SOV and SVO are dominant word orders in sign languages as well.
- Al-Sayyid Bedouin Sign Language

<b>RED RIJEČI</b>	<b>UDIO</b>
SOV	41,03 %
SVO	35,44 %
nema	13,73 %
VSO	6,90 %
VOS	1,82 %
OVS	0,80 %
OSV	0,29 %

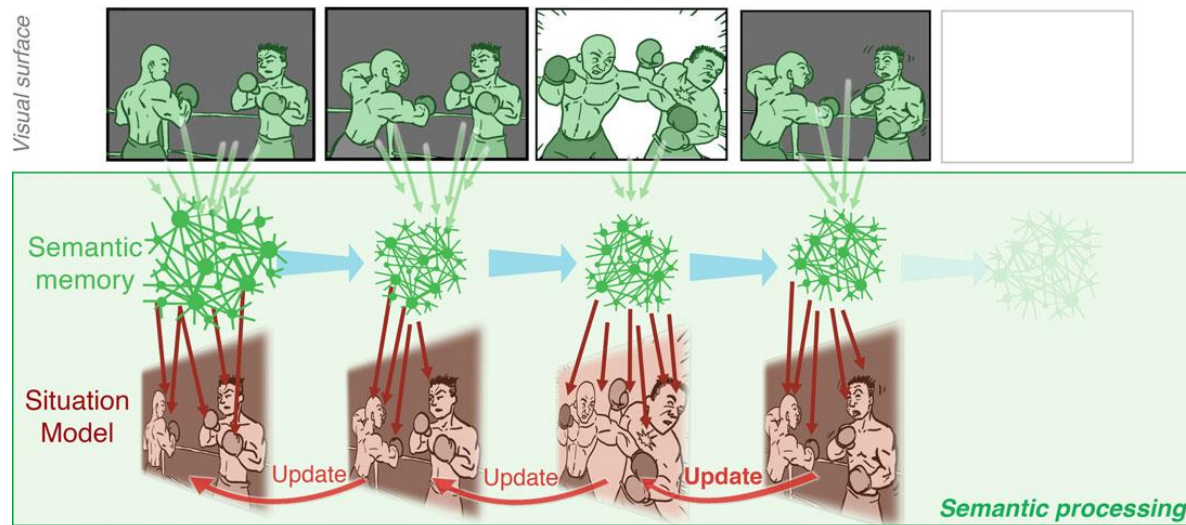
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### TWO-WORD STAGE (2): Word order

- subject saliency and verb–object juxtapositioning
- According to Kemmerer (2012, *Language and Linguistics Compass* 6(1)), subject saliency reflects how the brain understands core transitive events in which the agent is the head of a causal chain affecting the patient.

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### TWO-WORD STAGE (3): Word order

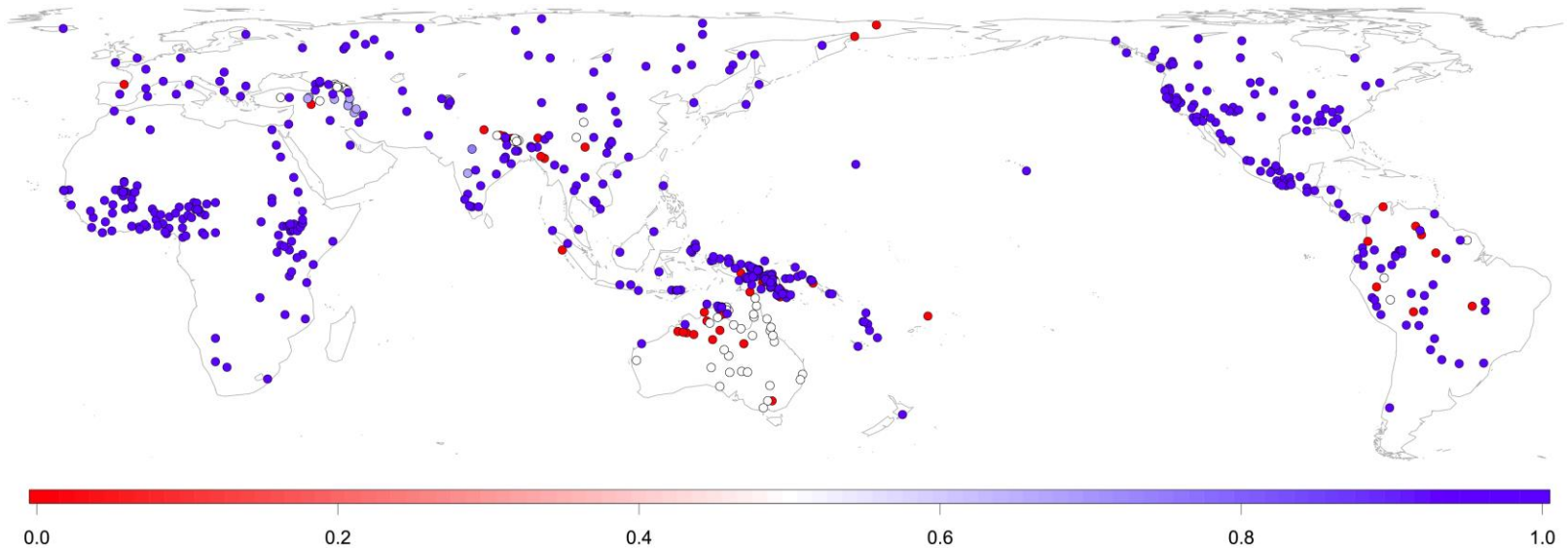


- Agent saliency is evidenced in empirical studies:
  - information about the agent compared to the patient facilitates prediction of action in the future,
  - agents are longer viewed in visual depictions than patients,
  - visual depictions primed by agents are processed faster compared to depictions primed by patients,
  - etc.

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### TWO-WORD STAGE (4): Word order

- Nominative-accusative languages are cross-linguistically more frequent compared to ergative-absolutive languages



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### TWO-WORD STAGE (5): Word order

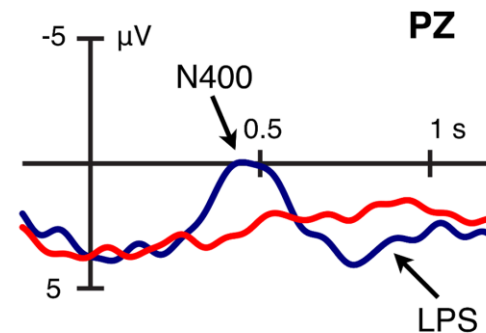
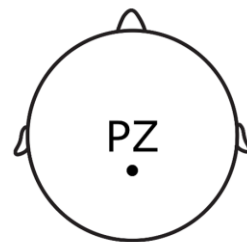
TABLE 1  
FREQUENCIES OF DOMINANT ALIGNMENT TYPES

Area	Acc.	Erg.	St.-act.	Hier.	3-way	Neutral	Unknown	Total
Africa	16					4		20
Ancient Near East	2	2	1					5
Europe and Caucasus	6	3	1					10
Northern Asia	9	2	1					12
S and SE Asia	3	3	1			3		10
New Guinea	26	5	1			1		33
Australia	8	11		2	1			22
Oceania	4	2	1					7
Western North America	22	4	5			1		32
Eastern North America	4		7	2				13
Mesoamerica	5	1	1	2			1	10
South America	11	1	4			1	3	20
Total	116	34	23	6	1	10	4	194
Total as %	59	18	11	3	0.5	5	2	100

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### TWO-WORD STAGE (6): Word order

- Bornkessel et al. (2004): ERP, reception of dependent object clauses in which the syntactic and semantic roles are ambiguous until the end of the sentence



... dass **Betram** **Surferinnen** gratuliert  
... *that Bertram surfers congratulated*

... dass **Betram** **Surferinnen** gratuliert  
... *that Bertram surfers congratulated*

**hat**  
*has*

**haben**  
*have*

0 ms

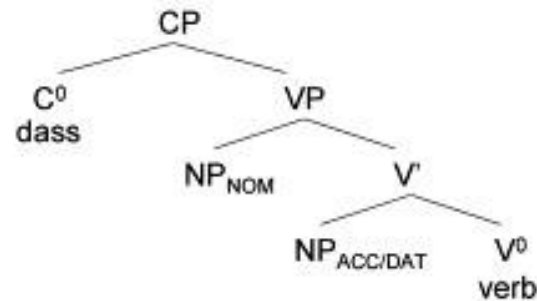
Bornkessel et al. (2004). Multi-dimensional contributions to garden path strength: dissociating phrase structure from case marking. *Journal of Memory and Language*, 51, 495–522.

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### TWO-WORD STAGE (7): Word order

- Bornkessel et al. (2004)
  - a combination of a biphasic negativity after 400 ms and late positivity in the latter sentence type
  - Results suggest that the first argument is automatically processed as an agent until further analysis shows otherwise.

A Phrase marker before the reanalysis

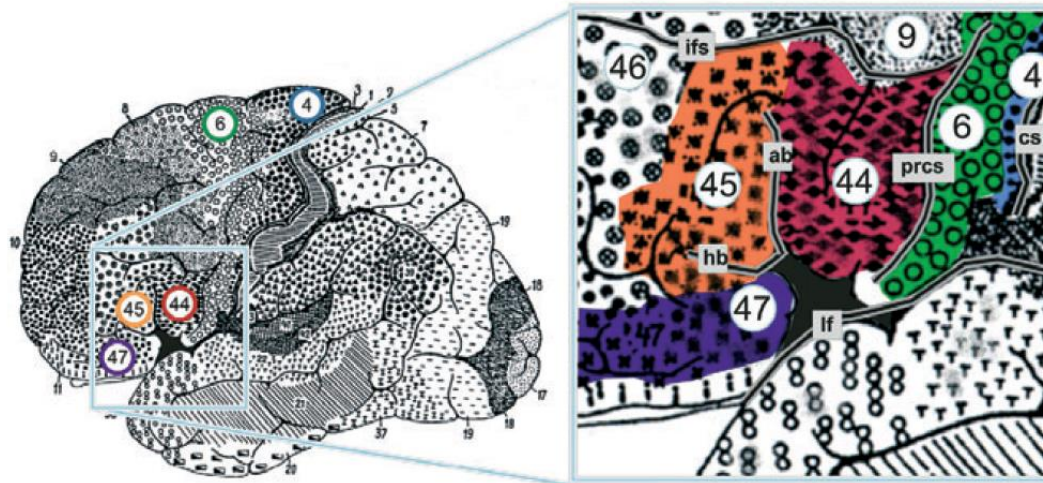




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### TWO-WORD STAGE (8): Word order

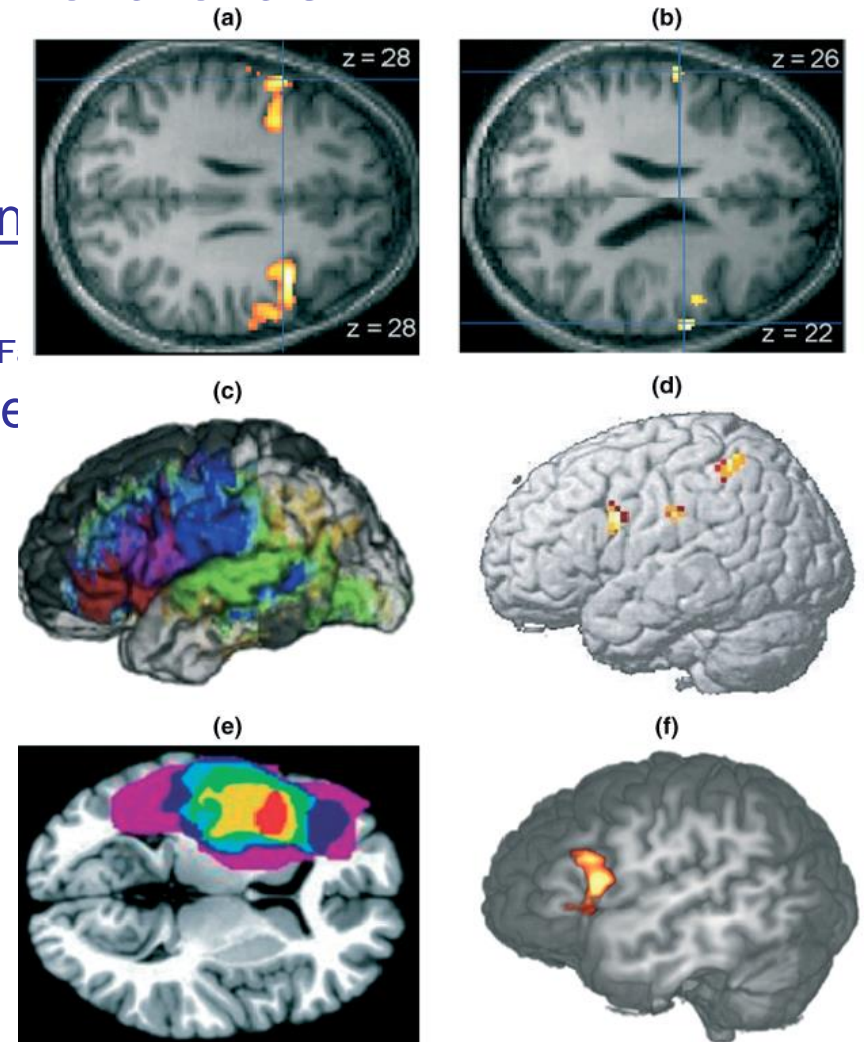
- Kemmerer (2012) speculates that the two dominant word orders reflect the ways in which Broca's area processes actions in general.
- Broca's area is a very controversial topic and it appears that today nobody really knows precisely what Broca's area is, nor where it is.



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### TWO-WORD STAGE (9): Word order

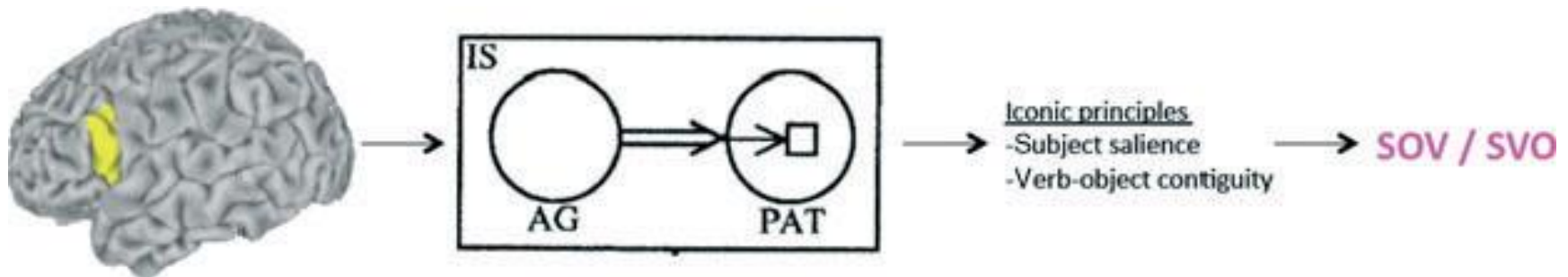
- Broca's area is highly multifunctional (F. al. 2017), production of nonverbal nonverbal action understanding (F. music (Elmer et al. 2018), visuospatial perception language, etc.
- common functional denominator



## 2. Gradual evolution of language

### TWO-WORD STAGE (10): Word order

- In short, SOV and SVO would reflect the temporal structure of the causal action chain which is coded in Broca's area, and which is enabled through a phylogenetically older system of sequential and hierarchical organization of bodily movements and actions.



# CONCLUSIONS

- It is unclear whether we can deduce anything concerning language evolution from the presented data.
- A number of linguistic phenomena show a certain degree of embodiment, i.e. functional connection with sensory and motor brain areas.
- Using Occam's razor, I suggested that synchronic embodiment suggests phylogenetic exaptation.

# CONCLUSIONS

- If the exaptation hypothesis is true, it would be more plausible that the first linguistic phenomena which evolved were the ones which show the highest degrees of embodiment in modern humans.
- Thus, e.g., concrete concepts, and specifically, action concepts were more likely to be lexicalized than abstract concepts in the context of evolution.

# CONCLUSIONS

- One-word stage?
- One-element utterances, typically alarm and food calls, have been evidenced in a range of species whose phylogenetic origins predate human origins. Thus, one-element utterances, including utterances containing word-like denotative structures, appear to be a phylogenetically relatively ancient phenomenon.
- Problematically, it is unclear whether the communication systems in the described „one-word” animals don't have syntactic components elsewhere.

# CONCLUSIONS

- Similarly, some other linguistic phenomena are present in other taxa as well, such as syntax, as well as both semantic and non-semantic combinatoriality of elements.
- If not all cases can be explained by convergent evolution, this suggests that there are, from humans' perspective, evolutionarily primitive systems which have been reused for language.



# CONCLUSIONS

- Studies on transitivity have emphasized the mechanisms of subject and agent saliency.
- Converging data suggest that when transitive constructions were introduced into language, it is more likely that the first element in the expression would have expressed an agent, rather than there being a free word order.
- This further suggests that if there were two-word utterances which were coded in a transitive frame, the first noun-like word would have likely expressed the agent, not the patient.
- Transitive coding was not necessarily present in the proposed two-word stage and utterances not governed by rules are thinkable.

# CONCLUSIONS

- Be that as it may, syntactic transitivity seems nevertheless associated with semantic transitivity, which possibly hints to some phylogenetic implications.
- BA 45 might have played an important evolutionary role in the abstraction/schematization of existing, relatively embodied, scenarios and rules, which possibly led to syntax.

# CONCLUSIONS

- What's with phonetics and phonology?

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## Language evolution: syntax before phonology?

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and Simon W. Townsend<sup>1</sup>

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<sup>3</sup>Anthropological Institute and Museum, University of Zurich, Zurich, Switzerland

# CONCLUSIONS

