#### Language evolution: the earliest words and sentences

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#### LANGUAGE EVOLUTION: THE EARLIEST WORDS AND SENTENCES

Petar Gabrić

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#### **OUTLINE**

- 1. DEFINING THE KEY TERMS
  - a. evolution, language, language evolution
  - b. exaptation, embodiment
- 2. A GRADUAL ACCOUNT OF SPOKEN LANGUAGE ORIGINS
  - a. the protolanguage hypothesis and the like
  - b. concepts (semantics)
  - c. one-word stage
  - d. two words, three words...
- 3. Conclusions



#### 1. Defining key terms EVOLUTION (1)

#### microevolution and macroevolution

mutations = source of genetic variation

natural selection, genetic drift, gene flow, etc. ...GTCGAGTCTA CGCTATCGCT...
...CAGCTCAGAT GCCTATCGCT...
..

...GTCGAGTCTAGCGCTATCGCT..

Mutagenic event

Substitution

Genome.gov

LINGUISTISCHES KOLLOQUIUM, Wintersemester 2020/21, 03.02.2021

Micro

Deletion



#### 1. Defining key terms EVOLUTION (2)

Palaeoanthropology and archaeology fossils, DNA, artefacts



Wikipedia

#### Limitations:

small "samples",

current fossil and artefact records are not representative,

limitation etc.





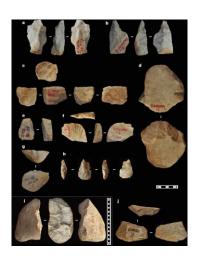
# 1. Defining key terms EVOLUTION (3)

#### LETTER

https://doi.org/10.1038/s41586-018-0299-4

#### Hominin occupation of the Chinese Loess Plateau since about 2.1 million years ago

Zhaoyu Zhu<sup>1,2</sup>\*, Robin Dennell<sup>3</sup>\*, Weiwen Huang<sup>2,4</sup>, Yi Wu<sup>5</sup>, Shifan Qiu<sup>6</sup>, Shixia Yang<sup>4,7</sup>, Zhiguo Rao<sup>8</sup>, Yamei Hou<sup>2,4</sup>, Jiubing Xie<sup>9</sup>, Jiangwei Han<sup>10</sup> & Tingping Ouyang<sup>1,11</sup>



Proceedings of the Geologists' Association 128 (2017) 697-710

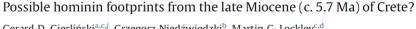


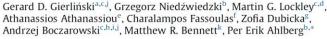
Contents lists available at ScienceDirect

#### Proceedings of the Geologists' Association

journal homepage: www.elsevier.com/locate/pgeola









#### **PALEOANTHROPOLOGY**

#### U-Th dating of carbonate crusts reveals Neandertal origin of Iberian cave art

D. L. Hoffmann, <sup>1\*</sup> C. D. Standish, <sup>2\*</sup> M. García-Diez, <sup>3</sup> P. B. Pettitt, <sup>4</sup> J. A. Milton, <sup>5</sup> J. Zilhão, <sup>6,7,8</sup> J. J. Alcolea-González, <sup>9</sup> P. Cantalejo-Duarte, <sup>10</sup> H. Collado, <sup>11</sup> R. de Balbín, <sup>9</sup> M. Lorblanchet, <sup>12</sup> J. Ramos-Muñoz, <sup>13</sup> G.-Ch. Weniger, <sup>14,15</sup> A. W. G. Pike <sup>2</sup>†











# 1. Defining key terms EVOLUTION (4)

SUPERFAMILY	FAMILY	GENUS
Hominoidea	Hylobatidae	Hylobates
	Pongidae	Pan Gorilla Pongo
	Hominidae	Ното

SUPERFAMILY	FAMILY	SUBFAMILY	TRIBE	GENUS
Hominoidea	Hylobatidae			Hylobates
	Pongidae	Ponginae		Pongo
	Hominidae	Gorillinae	Gorillini	Gorilla
		Homininae	Panini	Pan
			Hominini	Ното



# 1. Defining key terms EVOLUTION (4)

SUPERFAMILY	FAMILY	SUBFAMILY	TRIBE	SUBTRIBE	GENUS
Hominoidea	Hylobatidae				Hylobates
	Pongidae	Ponginae			Pongo
	Hominidae Ho	Homininae	Gorillini		Gorilla
			Hominini	Panina	Pan
				Hominina	Ното

SUPERFAMILY	FAMILY	SUBFAMILY	TRIBE	GENUS
Hominoidea	Hylobatidae			Hylobates
	Pongidae	Ponginae		Pongo
	Hominidae	Gorillinae	Gorillini	Gorilla
		Homininae	Panini	Pan
			Hominini	Ното





The Evolution of the Book in Medieval and Renaissance Society



TOP STORIES

#### The Instagram evolution of Angela Merkel

German Chancellor Angela Merkel joined Instagram just a week ago, and already, she's getting spammed and attacked by Russian trolls. DW's social media team charts the chancellor's week-long Instagram crash course.







#### 1. Defining key terms LANGUAGE (1)

= part of humans' communication system not evidenced in other known living beings

concepts – semantic memory
words (phrases) – lexicalization
syntax
sentences – compositional (syntactic) semantics
utterances
productivity

Language is, of course, grounded upon many more notions.

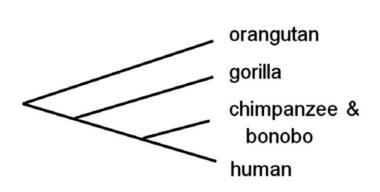


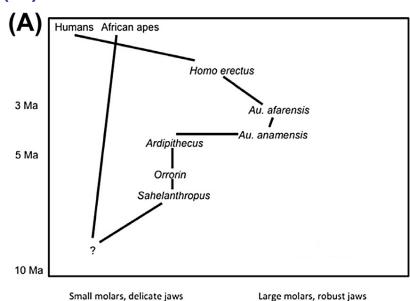
#### 1. Defining key terms LANGUAGE EVOLUTION (1)

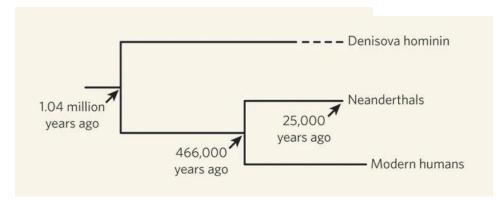
- Historical linguistics is time-limited.
- Humans are the only known living beings possessing language.
- In normal circumstances, all humans acquire at least one language before a certain developmental phase and the language is (primarily) spoken.
  - Language has emerged no later than the Homo sapiens speciation, but not before the split between humans and chimpanzees.
  - If language did not emerge as a "package", it is plausible that some linguistic capacity might have been extant in the last common ancestor of humans and neanderthals.



#### 1. Defining key terms LANGUAGE EVOLUTION (2)









Groves, C. P. (2018). The latest thinking about the taxonomy of great apes.
International Zoo Yearbook, 52(1), 16–24.
Brown (2010). Stranger from Siberia.
Nature 464, 838–9.

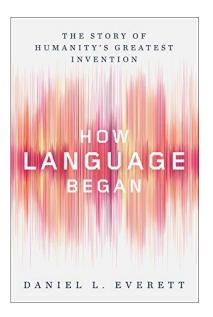
# 1. Defining key terms LANGUAGE EVOLUTION (3)

Mutations and culture

- Noam CHOMSKY

  On Nature and Language

  CAMBRIDGE
- N. Chomsky: a "mutation-based" account of language evolution
- D. Everett: cumulative culture





# 1. Defining key terms EXAPTATION (1)

• **Exaptation** = the process of the emergence of structures and/or functions from pre-existing structures and/or functions

Anatomy

Bird feathers



Heat regulation, display, flight

Behavior

Mouth licking in wolves and domestic dogs

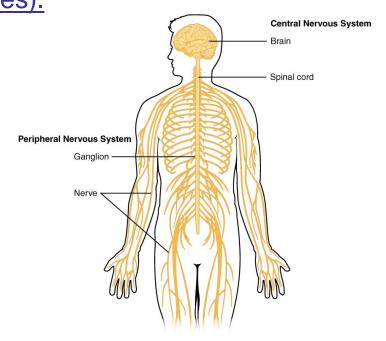
Begging for food, signal for submissivenes s





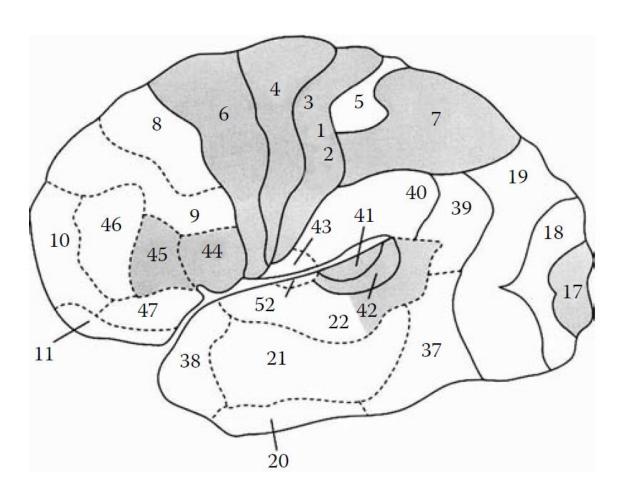
### 1. Defining key terms EXAPTATION (2)

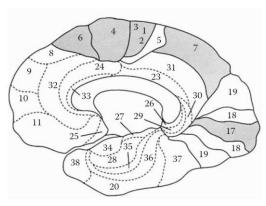
 In the context of speech and language evolution, we are talking about the exaptation from <u>pre-existing cognitive functions (and</u> brain structures).





# 1. Defining key terms EXAPTATION (3)





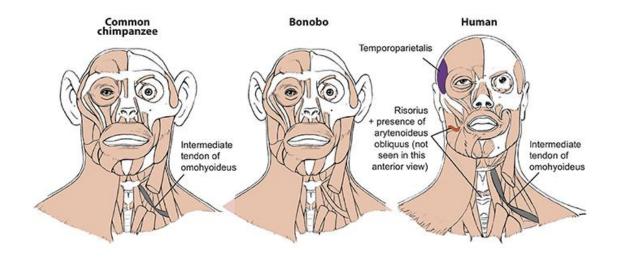


### 1. Defining key terms EXAPTATION (4)

- Motor brain areas are involved in speech production via <u>activation</u> of motor plans and muscle <u>articulators</u>, as well as <u>movement</u> <u>coordination</u>.
- Motor areas are also probably involved in <u>speech perception</u> (e.g. the motor theory of speech perception).

#### 1. Defining key terms EXAPTATION (5)

continuity





R. (2018). First detailed anatomical study of bonobos reveals intra-specific variations and exposes just-so stories of human evolution, bipedalism, and tool use. Frontiers in Ecology and Evolution, 6, 53

### 1. Defining key terms EXAPTATION (6)

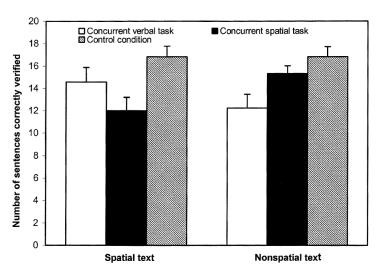
- Concerning language evolution, most likely candidates for exaptation are:
  - 1. sensorimotor processing (including visuospatial processing)
  - 2. declarative and procedural memory
  - 3. executive functioning and general working memory

### 1. Defining key terms EXAPTATION (7)

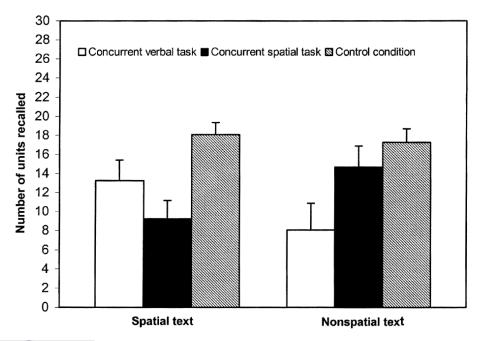
 De Beni et al. (2005): role of <u>verbal and visuospatial working</u> <u>memory</u> in text comprehension

Listening of "spatial" and "non-spatial" texts with concurrent

cognitive tasks



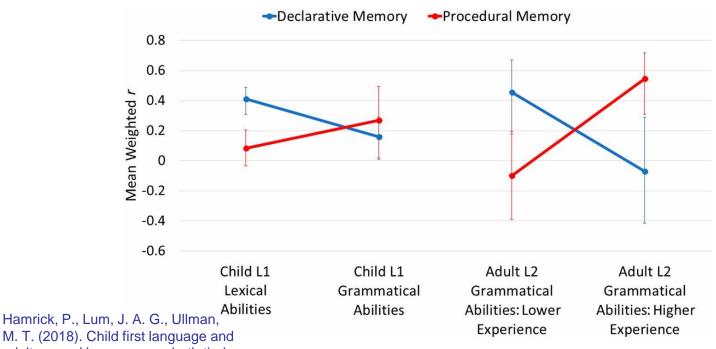
De Beni, R., Pazzaglia, F., Gyselinck, V., Meneghetti, C. (2005). Visuospatial working memory and mental representation of spatial descriptions. European Journal of Cognitive Psychology, 17(1), 77–95.





# 1. Defining key terms EXAPTATION (8)

Hamrick et al. (2018): procedural and declarative memory



M. T. (2018). Child first language and adult second language are both tied to general-purpose learning systems. Proceedings of the National Academy of Sciences of the United States of America, 115(7), 1487–1492.



# 1. Defining key terms EMBODIMENT (1)

- Embodiment
- Modular theories

### 1. Defining key terms EMBODIMENT (2)

Concept modality vs. amodality

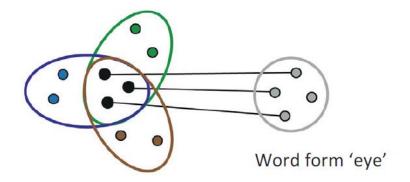
#### Concrete meaning:

Similar instantiations, semantic feature overlap strongly links to symbol









Pulvermüller, F. (2013). How neurons make meaning: brain mechanisms for embodied and abstract-symbolic semantics. Trends in Cognitive Sciences, 17(9), 458–70.



#### 1. Defining key terms EMBODIMENT (3)

- Concreteness and abstractness are not categorical, but gradual measures.
- Compared to abstract words, concrete words are
  - recognized faster,
  - recalled faster and more accurately,
  - used more often,
  - shorter,
  - etc.
- Cognitive Linguistics: abstract semantics arises from concrete semantics via mechanisms of metaphor and image schemas



# 1. Defining key terms EMBODIMENT (4)

1	Prefixation is ten times more likely to occur in abstract nouns.
2	Suffixation is four times more likely to occur in abstract nouns.
3	Abstract nouns show higher rates of consonant clustering.
4	Abstract nouns are longer both in total syllables and in phonemes.
5	Compounding (e.g., bulldog) is twice as likely to occur in concrete nouns.
6	Concrete nouns are most commonly monomorphemic.
7	Concrete nouns typically hold first syllable stress.
8	Abstract nouns show more variable syllable stress patterns and are more likely to carry non-initial stress as word length increases.
9	Etymologies of concrete and abstract nouns differ significantly. Abstract nouns are most often derived from Latinate. Concrete nouns are more frequently of Germanic origin.
10	Abstract nouns have fewer similar-sounding neighbors (i.e., sparse phonological and orthographic neighborhood density).

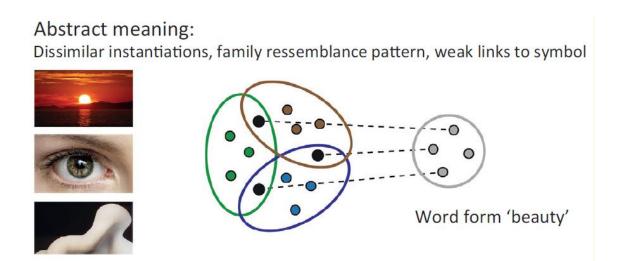
doi:10.1371/journal.pone.0042286.t001

 abstract words appear to be cognitively more demanding and more linguistically marked than concrete words from a number of aspects

> Arbitrary Symbolism in Natural Language Revisited: When Word Forms Carry Meaning. PLOS ONE 7(8): e42286.

### 1. Defining key terms EMBODIMENT (5)

 Concrete words are processed bilaterally with a "modest leftward asymmetry", while abstract words appear to be left-lateralized (Binder et al. 2005; Mildner 2015: 199).



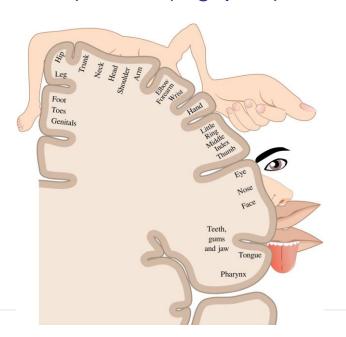


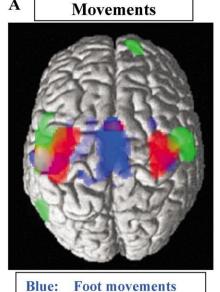
### 1. Defining key terms EMBODIMENT (6)

 Neurophysiological studies show <u>somatotopic activation</u> of words related to face/mouth, hand/arm and foot actions.

Hauk et al. (2004, Neuron 41(2)): fMRI, silent reading of face (e.g.

lick), hand (e.g. pick) and foot action verbs (e.g. kick)





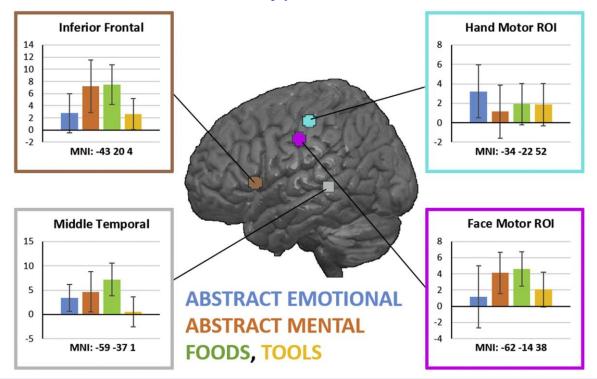
Red: Finger movements
Green: Tongue movements

Action Words

Blue: Leg words
Red: Arm words
Green: Face words

### 1. Defining key terms EMBODIMENT (7)

 <u>Dreyer & Pulvermüller (2018, Cortex 100)</u>: fMRI, passive reading of four different semantic noun types



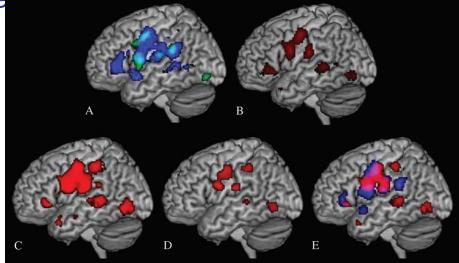


### 1. Defining key terms EMBODIMENT (8)

 Abstract words are also relatively embodied, namely in motor and emotional brain regions.

Moseley et al. (2012, Cerebral Cortex 22): fMRI, passive listening of emotional (e.g. dread, spite), face (e.g. gnaw, chew) and hand

verbs (e.g. pe





### 1. Defining key terms EMBODIMENT (9)

- As semantic processing, syntactic processing is also associated with distributed neural activation in the frontotemporoparietal areas.
  - left-hemispheric middle and superior temporal, inferiorposterior parietal, as well as inferior frontal brain regions
  - left-hemispheric lateral premotor cortex, sometimes extending more posteriorly into the primary motor area and more anteriorly into the middle frontal gyrus
  - "The involvement of the motor system in sentence processing is not only due to phonological and articulatory mapping […] because it also provides a grounding node for certain kinds of conceptual-semantic information." (Ghio & Tettamanti 2016: 647)



### 1. Defining key terms EMBODIMENT (10)

- Synchronic embodiment can suggest phylogenetic exaptation (Occam's razor).
- The alternative, modular, hypothesis is problematic:
  - It would imply that there were two phases of language evolution (language emergence and language embodiment).
  - It would imply that there was a significant brain reorganization in the wake of various genetic mutations.
  - It doesn't explain why some linguistic phenomena are more embodied than others.
  - It is unclear how a modular language system would have functioned.



#### 2. Gradual evolution of language PROTOLANGUAGE (1)

- Bickerton (1990): "protolanguage" and "language fossils"
- Protolanguage is compatible with gradualism.
- "In syntax one can define living fossils as constructions which exhibit rudimentary syntax/semantics, not accounted for by the principles of modern (morpho)syntax, but which nonetheless show some continuity with it." (Progovac 2016: 3)
- Language fossils are not adequately defined.
- The methodologies in these studies are primarily introspective, and are further problematic and non-transparent.



#### 2. Gradual evolution of language PROTOLANGUAGE (2)

- Language fossils:
  - language-taught captive apes
  - children under the age of two
  - feral children
  - pidgins
  - Riau Indonesian
  - Pirahã
  - spontaneously emerging sign languages
  - Contemporary languages contain "living fossil" structures in their lexicons.



#### 2. Gradual evolution of language PROTOLANGUAGE (3)

 Jackendoff & Wittenberg (2014): "[W]e are adopting the unfamiliar and sometimes painful methodology of assuming as little syntactic structure as possible."

#### a. One-word grammar

[Utterance Word] [Traditional notation: Utterance → Word]

#### b. Two-word grammar

[Utterance Word (Word)] [Utterance → Word (Word)]

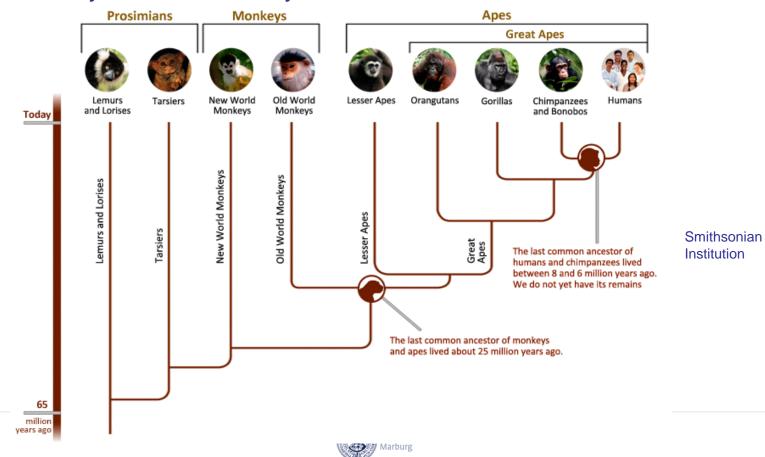
#### c. Concatenation grammar

 $[Utterance Word^*]$  [Utterance  $\rightarrow Word^*$ ]



# 2. Gradual evolution of language CONTINUITY (1)

Continuity vs. discontinuity



### 2. Gradual evolution of language CONTINUITY (2)

Zipf's law

predicts a negative relationship between word length and frequency of use

Menzerath's law

compression

longer sequences are made up of shorter constituents

the information theoretic principle of minimizing code length



## 2. Gradual evolution of language CONTINUITY (3)

- Language is unique.
- Absence of evidence about the existence of a particular phenomenon in a sample doesn't imply nonexistence of the phenomenon in the sample, let alone nature (!).
- The available data on animal behavior doesn't straightforwardly show that animal communication lacks productivity.
- It is not clear why qualitative/quantitative differences between language and animal communication systems should be taken as arguments for discontinuity.



## 2. Gradual evolution of language CONTINUITY (4)

- Language is phylogenetically independent on animal communication systems because language is "unique", and language is "unique" because it appears sure to the naked linguist's eye that language has certain features which are lacking in animal communication systems.
- "[T]here is no fundamental difference between man and the higher mammals in their mental faculties." (Darwin 2013: 29–30)



# 2. Gradual evolution of language CONTINUITY (5)

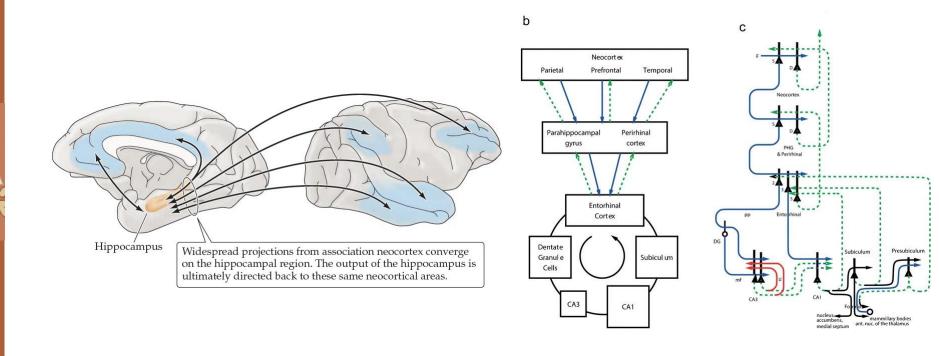
the streetlight effect (or drunkard's search principle)





# 2. Gradual evolution of language SEMANTIC MEMORY (1)

Concepts and the mental lexicon

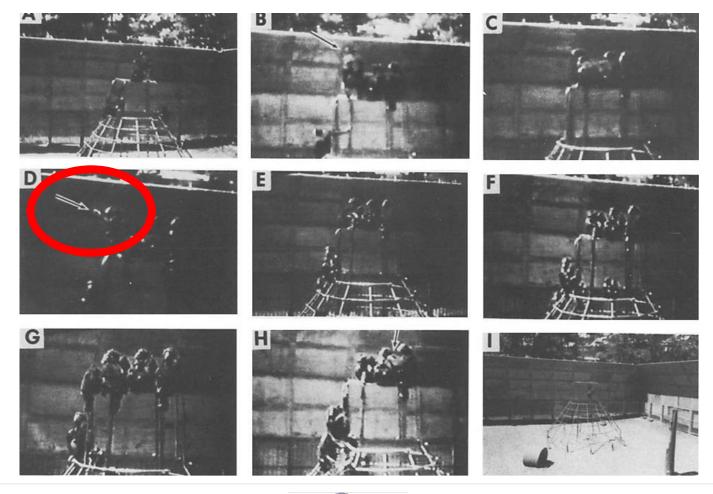


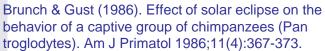


## 2. Gradual evolution of language SEMANTIC MEMORY (2)

- Categories: hypernymy and hyponymy (and co-hyponymy)
- Synonymy
- Antonymy
- Meronymy
- Metonymy
- Etc.

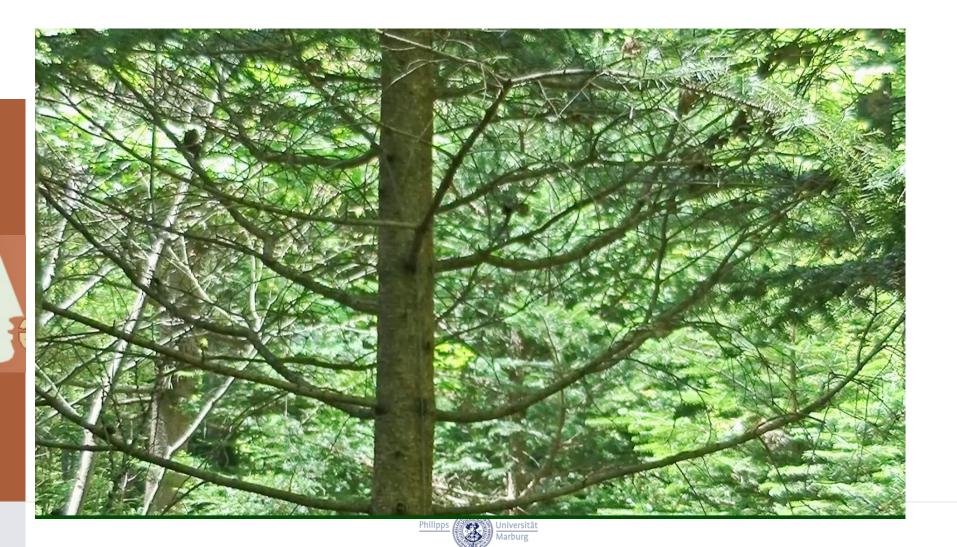
# 2. Gradual evolution of language SEMANTIC MEMORY (3): chimpanzees







# 2. Gradual evolution of language SEMANTIC MEMORY (4): Japanese tits



# 2. Gradual evolution of language SEMANTIC MEMORY (5): Kanzi

Kanzi

• When asked to "Put some water on the carret" be responded by tossing the carrot outdoors; since it was his action resulted in water getting on applied the water indirectly. This meth carrot" appeared to be deliberate on K during the test did he toss food or other noteworthy that no one could recall ev behavior to Kanzi as a means of puttir Moreover, at other times during the test.

raining, he readily used both the hose and the faucet at the sink as a means of obtaining water if a request required him to do so, indicating that he knew how to obtain water.

#### 2. Gradual evolution of language ONE-WORD STAGE (1)

#### a. One-word grammar

[Utterance Word] [Traditional notation: Utterance → Word]

#### b. Two-word grammar

[Utterance Word (Word)] [Utterance → Word (Word)]

#### c. Concatenation grammar

[Utterance Word\*] [Utterance → Word\*]



# 2. Gradual evolution of language ONE-WORD STAGE (2)

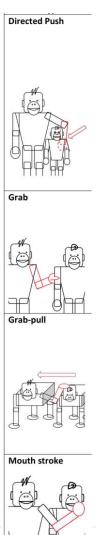
- One-word utterances in language
- There is no language with only one-word utterances.

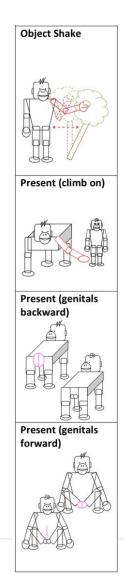
# 2. Gradual evolution of language ONE-WORD STAGE (3): chimpanzees

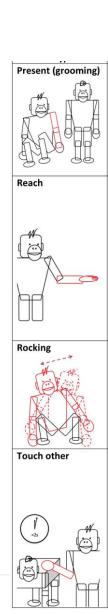
Arm raise    Climb on you 34%   Initiate grooming 22%   Move away 19%   Move closer 15%   Stop behaviour 11%   Climb on you 7%	Gesture Type	Bonobo ASOs	Chimpanzee ASOs
Initiate copulation 20% Initiate GG-rubbing 16% Contact 6% Climb on me 2%  Ambiguous  [9(50): f=3.13, df=12,96 p=0.0009]  Arm up  Contact 80% Climb on me 20%  Tight  [3(15): f=85.14, df=12,24 p<0.0001]  Big loud scratch  Initiate grooming 100%  Initiate grooming 82% Climb on me 1%  Tight  Tight  Tight  Tight  Tight  [10(41): f=893.1, df=12,108 p<0.0001]  Bipedal stance  Initiate copulation 50%, Initiate GG-rubbing 50%  Loose  Move closer 15% Stop behaviour 11% Climb on you 7%  Ambiguous  [x2=65.71, df=14 p<0.0001]  -  Initiate grooming 82%  Travel with me 16% Follow me 2% Climb on me 1%  Tight  [f=45.33, df=14, 238 p<0.001]  -  Loose	Arm raise	Climb on you 34%	Acquire object 48%
Initiate GG-rubbing 16% Contact 6% Climb on me 2%  Ambiguous  [9(50): f=3.13, df=12,96 p=0.0009]  Arm up  Contact 80% Climb on me 20%  Tight  [3(15): f=85.14, df=12,24 p<0.0001]  Big loud scratch  Initiate grooming 100%  Initiate grooming 82% ¹ Travel with me 16%¹ Follow me 2% Climb on me 1%  Tight  [10(41): f=893.1, df=12,108 p<0.0001]  Bipedal stance  Initiate copulation 50%, Initiate GG-rubbing 50%  Loose  Stop behaviour 11% Climb on you 7%  Stop behaviour 11% Climb on you 7%  Ambiguous  [x2=65.71, df=14 p<0.0001]  -  Initiate grooming 82% ¹ Travel with me 16%¹ Follow me 2% Climb on me 1%  Tight  [f=45.33, df=14, 238 p<0.001] -  Loose		Initiate grooming 22%	Move away 19%
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Climb on me 2%   Ambiguous   [9(50): f=3.13, df=12,96 p=0.0009]   [\chiz2=65.71, df=14 p<0.0001]	$\mathcal{A}$	Contact 6%	Climb on you 7%
[9(50): f=3.13, df=12,96 p=0.0009] [x2=65.71, df=14 p<0.0001]  Arm up  Contact 80% Climb on me 20%  Tight [3(15): f=85.14, df=12,24 p<0.0001]  Big loud scratch  Initiate grooming 100%  Initiate grooming 82% 1 Travel with me 16% 1 Follow me 2% Climb on me 1%  Tight [10(41): f=893.1, df=12,108 p<0.0001]  Bipedal stance  Initiate copulation 50%, Initiate GG-rubbing 50%  Loose		Climb on me 2%	
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Description   P<0.0001   P<0.00	$\mathbb{N} \times \mathbb{N}$		
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Initiate GG-rubbing 50%  Loose	Rinedal stance	-	-
Loose	Jipeuai stailte -₩		
H\ /H		Initiate ad-Iubbing 50/6	
H\ /H	V N	Loose	
[4(12): f=4.46, df=12,36 p=0.0002]	$\mathbb{A}$	Loose	
(12): 1-4.46, d1-12,36 p-0.0002		[4/12]: f=4.46, df=12.36 p=0.0002]	
- H/14	97779	[+(12). 1-4.40, 01-12,30 p-0.0002]	
H H	H H		
86	88		

Philipps

Universität







## 2. Gradual evolution of language ONE-WORD STAGE (3): chimpanzees

- Climb on you/me
- Initiate grooming
- Initiate copulation
- Initiate genito-genital rubbing
- Reposition
- Stop behavior
- Follow me
- Move away/move closer
- Acquire object



# 2. Gradual evolution of language ONE-WORD STAGE (4): vervets

• Alarm calls for five predators: leopard (*Panthera pardus*), martial eagle (*Polemaetus bellicosus*), African rock python (*Python sebae*), babbons (*Papio*) and unfamiliar humans



David Schenfeld from Flickr









#### Snake Alarm Call



#### Gismo Enclosure encounter a Puff adder



## 2. Gradual evolution of language ONE-WORD STAGE (4): vervets

 Alarm calls for five predators: leopard (Panthera pardus), martial eagle (Polemaetus bellicosus), African rock python (Python sebae), babbons (Papio) and unfamiliar humans

Vervet alarm calls are semantic (denotative) and symbolic (in

Saussurean sense)

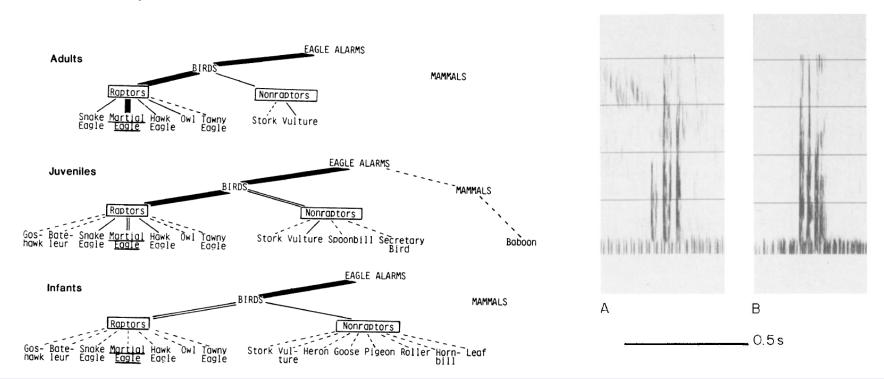


David Schenfeld from Flickr



## 2. Gradual evolution of language ONE-WORD STAGE (5): vervets

Vervet alarm calls appear to be at least partially learned (vs. innate).





## 2. Gradual evolution of language ONE-WORD STAGE (6): vervets

- There are other similar examples in other animals, and not only mammals:
  - White-faced capuchins (Cebus capucinus)
  - Pale-winged trumpeter (Psophia leucoptera)
  - Male domestic chickens (Gallus gallus domesticus)







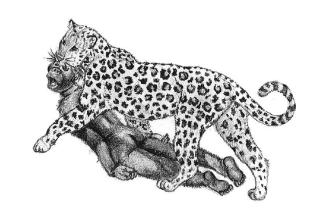


# 2. Gradual evolution of language ONE-WORD STAGE (7)

- Analogies in human languages
- Fire!
- Thief!
- Killer!
- Snake!
- Spider!
- Hornet!
- Help!



#### 2. Gradual evolution of language ONE-WORD STAGE (8)



Predation threat: yes...

Aleman and the and vocal etter de

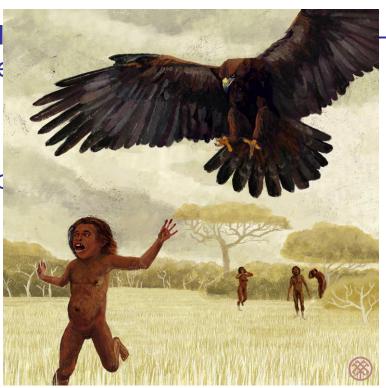
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vs. at





## 2. Gradual evolution of language TWO-WORD STAGE (1)

A two-word stage without syntax

•	Wha		
	_ F	Elephant kill or Kill elephant	'X killed elephant.'
	– F	/	<del>'Elephant killed X.'</del>
	ŗ		

– Two lexical items:

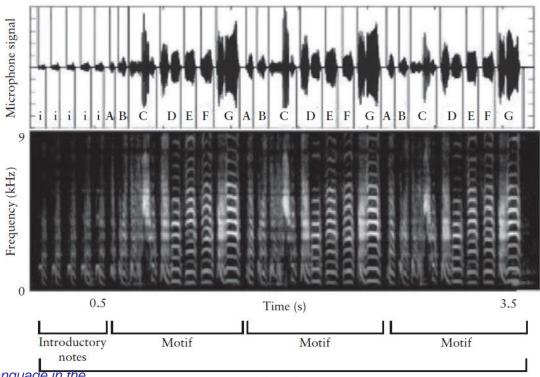
The two concents are completely related within the proposition		
Nuts many or Many nuts	e.g., 'I found many nuts.'	e e
Hyena carrion or Carrion hyena	e.g., 'Hyenas are feasting on the carrion.'	d

 If this is true, then the two words used could only be used with one (predetermined) semantic role.



# 2. Gradual evolution of language TWO-WORD STAGE (2): animal syntax

Bees, ants, frogs, songbirds, whales...



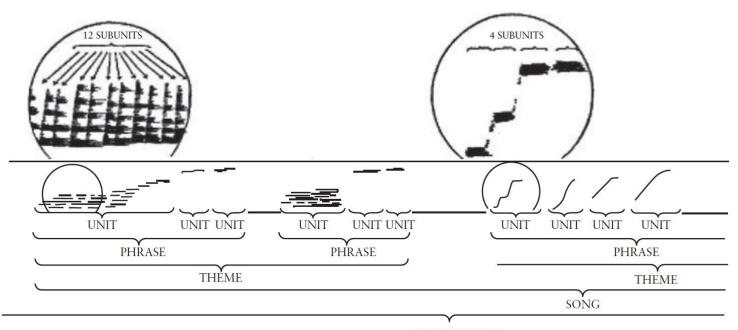
Hurford, J. R. (2007). Language in the Light of Evolution 1. The Origins of Meaning. New York: Oxford University Press.



Song bout

## 2. Gradual evolution of language TWO-WORD STAGE (3): animal syntax

Bees, ants, frogs, songbirds, whales...



SONG SESSION

Hurford, J. R. (2007). Language in the Light of Evolution 1. The Origins of Meaning. New York: Oxford University Press.



Boesch (1991). Symbolic Communication in wild chimpanzees?. Human Evolution 6(1).

# 2. Gradual evolution of language TWO-WORD STAGE (4): chimpanzees



#### 2. Gradual evolution of language TWO-WORD STAGE (5): chimpanzees

- Reduplication = repetition of a stem or its part within a word for semantic or grammatical purposes
- 1. Intensification
- 2. Larger in quantity (e.g., grammatical number)
- "Serbo-Croatian": Tip je glup-glup. = lit. 'The guy is stupid-stupid.'
  - raznorazan (ADJ) = lit. 'diverse-diverse'
  - danodnevno (ADV) = lit. 'on a day-daily basis'
- Italian: *niente di niente* = lit. 'nothing of nothing'
- Indonesian: pagi 'morning', pagipagi 'early morning'



## 2. Gradual evolution of language TWO-WORD STAGE (6): chimpanzees

- Amalgamation, fusion
- Compounding
- principle of no synonymy
- Because 1a in the combinatorial expression would be nonmeaningful, the b element can be said to code information on both change in travel direction and resting.
- Problem: temporal delay between the two components considerable?



## 2. Gradual evolution of language TWO-WORD STAGE (7): Japanese tits

 Suzuki et al. (2016) claim to have found semantic syntax in the Japanese tits (*Parus minor*)

ABC

scan for danger

approach the caller

ABC-D

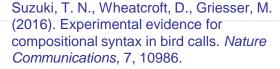
scan and approach

D-ABC

mostly no change in behavior



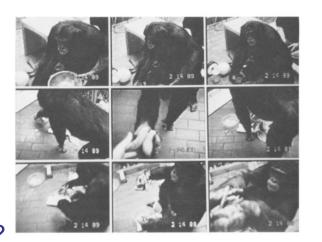
Sergey Yeliseev





# 2. Gradual evolution of language TWO-WORD STAGE (8): Kanzi

- Kanzi: bonobo
- Can you put your shirt on your ball?
- I think we need to give the balloon to Kelly.
- Can you put some toothpaste on your ball?



- put, give, and get
- slap, show, open, make your doggie bite your ball, etc.

Savage-Rumbaugh et al.(1993). Language comprehension in ape and child. *Monographs of the Society for Research in Child Development*, 58(3–4), i+iii+v-vi+1–252.

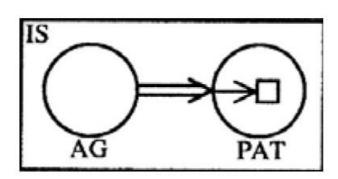


## 2. Gradual evolution of language TWO-WORD STAGE (9): Transitivity

- Semantic vs. syntactic transitivity
- Semantic transitivity is not a categorical, but a gradual phenomenon.

Prototypical semantic transitivity: a volitional agent acts on a patient by changing his state or position

- The typical agent is human?
- The typical patient is inanimate?





## 2. Gradual evolution of language TWO-WORD STAGE (10): Transitivity

- Core transitive verbs: verbs which code prototypical semantic events (basic transitive coding)
  - He broke the window. vs. I feel love. vs. She is crossing the street./She was sleeping all night.
- Core transitive verbs are considered to be a linguistic universal and to show "a high degree of formal homogeneity".

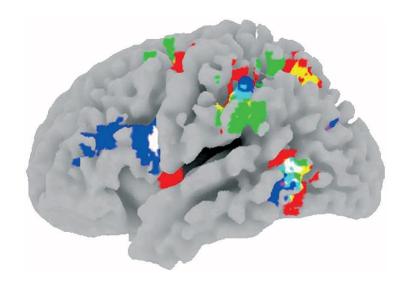


## 2. Gradual evolution of language TWO-WORD STAGE (11): Transitivity

- Tettamanti et al. (2005, *J Cogn Neurosci 17(2)*): fMRI, passive listening of sentences with face, foot and hand-related actions ("abstract" sentences as controls)
  - Non-transparent reporting of the stimuli
  - La ho accompagnata io. / Calcio il pallone. / Marco calcio il pallone.
  - It appears they compared transitive constructions which were semantically transitive in the experimental set and intransitive in the control set.



#### 2. Gradual evolution of language TWO-WORD STAGE (12): Transitivity



- The degree of semantic transitivity mediates the neurophysiological response.
- Embodiment of syntactic transitivity?
- Is the embodiment effect due to the entire transitive scenario or due to the meaning of particular components (e.g. verbs)?

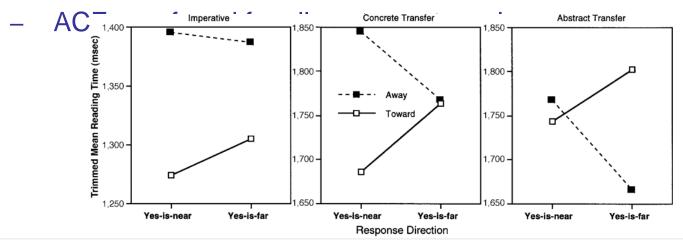


## 2. Gradual evolution of language TWO-WORD STAGE (13): Transitivity

- Ferretti et al. (2001): transitive verbs prime typical agents (arresting-cop), patients (arresting-criminal) and instruments (stirred-spoon), but not locations (swam-ocean)
  - A short SOA (250 ms) was used, indicating an automatic neural connection.
  - Results suggest that we can really talk about the embodiment of semantic transitivity in previous studies as it would be difficult to separate the effects of verb meaning, and agents and patients (and instruments).

## 2. Gradual evolution of language TWO-WORD STAGE (14): Transitivity

- Glenberg & Kaschak (2002, Psychon Bull Rev 9(3)): ACE, hand actions and transfer sentences differing in the direction of action/transfer
  - Imperative sentences (?)
  - sentences denoting transfer of concrete objectssentences denoting "transfer of abstract entitites





## 2. Gradual evolution of language TWO-WORD STAGE (1): Word order

- basic word order
- a controversial approach
- SOV and SVO are dominant word orders in sign languages as well.
- Al-Sayyid Bedouin Sign Language

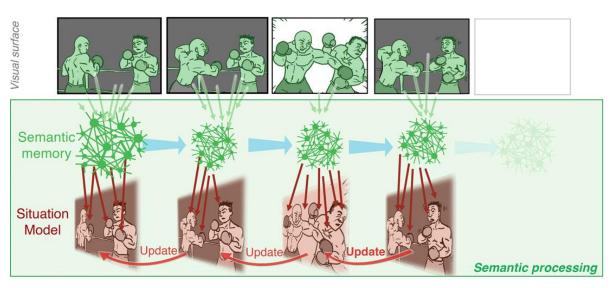
RED RIJEČI	UDIO
SOV	41,03 %
SVO	35,44 %
nema	13,73 %
VSO	6,90 %
VOS	1,82 %
OVS	0,80 %
OSV	0,29 %



### 2. Gradual evolution of language TWO-WORD STAGE (2): Word order

- subject saliency and verb—object juxtapositioning
- According to Kemmerer (2012, Language and Linguistics Compass 6(1)), subject saliency reflects how the brain understands core transitive events in which the agent is the head of a causal chain affecting the patient.

## 2. Gradual evolution of language TWO-WORD STAGE (3): Word order



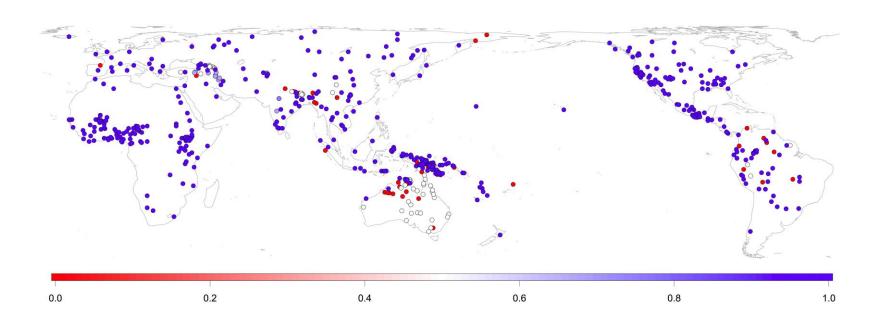
- Agent saliency is evidenced in empirical studies:
  - information about the agent compared to the patient facilitates prediction of action in the future,
  - agents are longer viewed in visual depictions than patients,
  - visual depictions primed by agents are processed faster compared to depictions primed by patients,

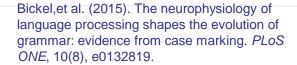




# 2. Gradual evolution of language TWO-WORD STAGE (4): Word order

 Nominative-accusative languages are cross-linguistically more frequent compared to <u>ergative-absolutive languages</u>





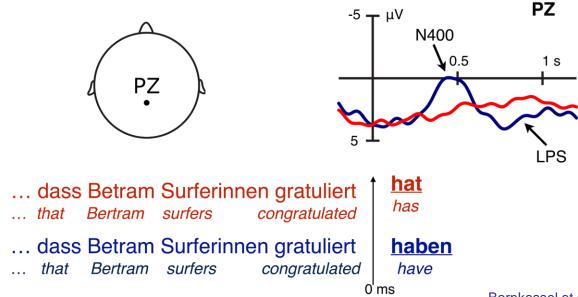


# 2. Gradual evolution of language TWO-WORD STAGE (5): Word order

			T	ABLE 1				
FREQUENCIES OF DOMINANT ALIGNMENT TYPES								
Area	Acc.	Erg.	Stact.	Hier.	3-way	Neutral	Unknown '	Total
Africa	16					4		20
Ancient Near East	2	2	1					5
Europe and Caucasus	6	3	1					10
Northern Asia	9	2	1					12
S and SE Asia	3	3	1			3		10
New Guinea	26	5	1			1		33
Australia	8	11		2	1			22
Oceania	4	2	1				,	7
Western North America	22	4	5			1		32
Eastern North America	4		7	2				13
Mesoamerica	5	1	1	2			1	10
South America	11	1	4			1	3	20
Total Total as %	116 59	34 18	23 11	6 3	1 0.5	10 5	4 2	194 100

# 2. Gradual evolution of language TWO-WORD STAGE (6): Word order

• <u>Bornkessel et al. (2004)</u>: ERP, reception of dependent object clauses in which the syntactic and semantic roles are ambiguous until the end of the sentence

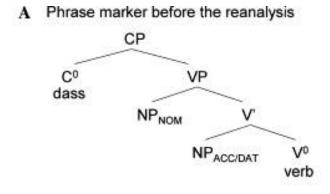




Bornkessel et al. (2004). Multi-dimensional contributions to garden path strength: dissociating phrase structure from case marking. *Journal of Memory and Language*, 51, 495–522.

## 2. Gradual evolution of language TWO-WORD STAGE (7): Word order

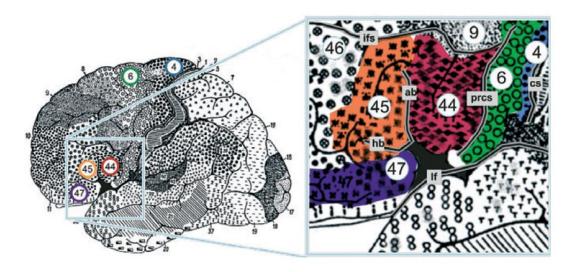
- Bornkessel et al. (2004)
  - a combination of a biphasic negativity after 400 ms and late positivity in the latter sentence type
  - Results suggest that the first argument is automatically processed as an agent until further analysis shows otherwise.





## 2. Gradual evolution of language TWO-WORD STAGE (8): Word order

- Kemmerer (2012) speculates that the two dominant word orders reflect the ways in which Broca's area processes actions in general.
- Broca's area is a very controversial topic and it appears that today nobody really knows precisely what Broca's area is, nor where it is.

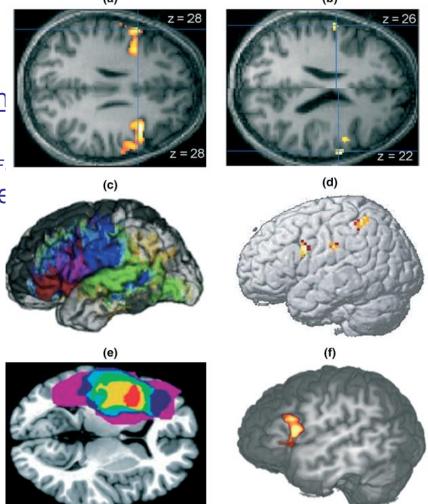




# 2. Gradual evolution of language TWO-WORD STAGE (9): Word order

• Broca's area is highly multifunction
al. 2017), production of nonverbal
nonverbal action understanding (F
music (Elmer et al. 2018), visuospatial pe
language, etc.

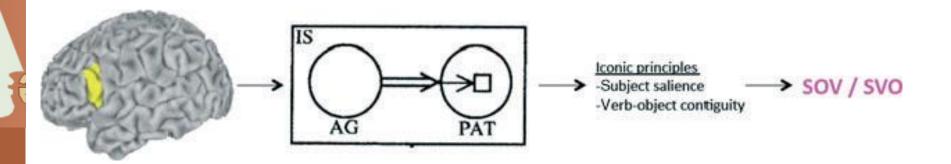
common functional denominator





# 2. Gradual evolution of language TWO-WORD STAGE (10): Word order

 In short, SOV and SVO would reflect the temporal structure of the causal action chain which is coded in Broca's area, and which is enabled through a phylogenetically older system of sequential and hierarchical organization of bodily movements and actions.





- It is unclear whether we can deduce anything concerning language evolution from the presented data.
- A number of linguistic phenomena show a certain degree of embodiment, i.e. functional connection with sensory and motor brain areas.
- Using Occam's razor, I suggested that synchronic embodiemnt suggests phylogenetic exaptation.



- If the exaptation hypothesis is true, it would be more plausible that the first linguistic phenomena which evolved were the ones which show the highest degrees of embodiment in modern humans.
- Thus, e.g., concrete concepts, and specifically, action concepts were more likely to be lexicalized than abstract concepts in the context of evolution.

- One-word stage?
- One-element utterances, typically alarm and food calls, have been evidenced in a range of species whose phylogenetic origins predate human origins. Thus, one-element utterances, including utterances containing word-like denotative structures, appear to be a phylogenetically relatively ancient phenomenon.
- Problematically, it is unclear whether the communication systems in the described "one-word" animals don't have syntactic components elsewhere.



- Similarly, some other linguistic phenomena are present in other taxa as well, such as syntax, as well as both semantic and nonsemantic combinatoriality of elements.
- If not all cases can be explained by convergent evolution, this suggests that there are, from humans' perspective, evolutionarily primitive systems which have been reused for language.

- Studies on transitivity have emphasized the mechanisms of subject and agent saliency.
- Converging data suggest that when transitive constructions were introduced into language, it is more likely that the first element in the expression would have expressed an agent, rather then there being a free word order.
- This further suggests that if there were two-word utterances which were coded in a transitive frame, the first noun-like word would have likely expressed the agent, not the patient.
- Transitive coding was not necessarily present in the proposed twoword stage and utterances not governed by rules are thinkable.



- Be that as it may, syntactic transitivity seems nevertheless associated with semantic transitivity, which possibly hints to some phylogenetic implications.
- BA 45 might have played an important evolutionary role in the abstraction/schematization of existing, relatively embodied, scenarios and rules, which possibly led to syntax.



What's with phonetics and phonology?



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### Language evolution: syntax before phonology?

Katie Collier<sup>1</sup>, Balthasar Bickel<sup>2</sup>, Carel P. van Schaik<sup>3</sup>, Marta B. Manser<sup>1</sup> and Simon W. Townsend<sup>1</sup>



<sup>&</sup>lt;sup>1</sup>Institute of Evolutionary Biology and Environmental Studies, <sup>2</sup>Department of Comparative Linguistics, and <sup>3</sup>Anthropological Institute and Museum, University of Zurich, Zurich, Switzerland



